

STIC Database Tracking Number:

To: Ella Colbert
Location: Knox 4A21
Art Unit: 3696
Date: October 29, 2009
Case Serial Number:
09/ 924,005

From: Caryn Wesner-Early
Location: EIC3600
KNX 4B59
Phone: (571) 272-3543
caryn.wesner-
early@uspto.gov

Search Notes

Dear Examiner Colbert:

Please find attached the results of your search for the above-referenced case. The search was conducted in the template files.

I have listed references of *potential* interest in the first part of the search results. However, please be sure to scan through the entire report. There may be additional references that you might find useful.

If you have any questions about the search, or need a refocus, please do not hesitate to contact me.

Thank you for using the EIC, and we look forward to your next search!

Caryn S. Wesner-Early, MSLS
ASRC Technical Information Specialist
EIC 3600, US Patent & Trademark Office

| | |
|---|-----------|
| I. REFERENCES OF POTENTIAL INTEREST | 3 |
| Dialog | 3 |
| II. INVENTOR SEARCH RESULTS FROM DIALOG..... | 5 |
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I. References of Potential Interest

Dialog

17/3,K/23 (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2009 ProQuest Info&Learning. All rts. reserv.

00211559

Foreign exchange markets: new instruments in foreign exchange
Gerden, Paula
World of Banking, v2, n6, p4-8, Nov 1983 LANGUAGE: English
RECORD TYPE: Abstract
...ABSTRACT: of the Chicago Mercantile Exchange, of negotiable instruments
in the form of futures contracts in currencies; and 4) options
trading on "spot foreign currency values" by the Philadelphia
Stock Exchange and approval for the IMM to trade options on foreign currency futures.

4/3,K/16 (Item 13 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
(c) 2009 ProQuest Info&Learning. All rts. reserv.

00726380 93-75601

Currency Options: From Inception to Present
Pasmantier, Anita B.
Review of Business v13n4 PP: 43-45 Spring 1992
ISSN: 0034-6454 JRNL CODE: ROB
WORD COUNT: 2195
...TEXT: trading options in French francs. More recently, on February 12,
1986, options in the European Currency Unit (ECU) began trading
. Finally, the last currency introduced by the Philadelphia
Stock Exchange was the Australian dollar which gan trading on January
19, 1987. The Philadelphia Stock...

4/3,K/28 (Item 3 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2009 Gale/Cengage. All rts. reserv.

07621842 SUPPLIER NUMBER: 16735681 (USE FORMAT 7 OR 9 FOR FULL TEXT)
OTC exchange. (two stock exchanges introduce new over-the-counter currency options)
Richardson, Portia
Futures (Cedar Falls, Iowa), v23, n12, p16(1)
Nov, 1994
ISSN: 0746-2468 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 344 LINE COUNT: 00028

In September the Chicago Mercantile Exchange (CME) introduced Deutsche mark forward futures contracts, which replicate currency forward trading, while the Philadelphia Stock Exchange (PHLX) launched virtual currency options known as 3-D (Dollar Denominated Delivery), the first...

4/3,K/3 (Item 2 from file: 485)
DIALOG(R)File 485:Accounting & Tax DB
(c) 2009 ProQuest Info&Learning. All rts. reserv.

00230321
THE PRICING OF CURRENCY OPTIONS: A TEST OF THE GARMAN-KOHLHAGEN PRICING MODEL
PASMANTIER, ANITA BELLA
PH.D. 49-12A PP: 1-150 JUN 1988
JRNL CODE: ADAI
Accounting & Tax DB_1971-2009/Oct W3
...ABSTRACT: model used to price stock options. The Garman-Kohlhagen model was applied to the six currencies trading on the Philadelphia Stock Exchange using daily data for the period February 28, 1983 to June 27, 1985.

II. Inventor Search Results from Dialog

? show files;ds;cost;logoff hold
File 471:New York Times Fulltext 1980-2009/Oct 28
(c) 2009 The New York Times
File 139:EconLit 1969-2009/Oct
(c) 2009 American Economic Association
File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13
(c) 2002 Gale/Cengage
File 474:New York Times Abs 1969-2009/Oct 29
(c) 2009 The New York Times
File 475:Wall Street Journal Abs 1973-2009/Oct 29
(c) 2009 The New York Times
File 35:Dissertation Abs Online 1861-2009/Sep
(c) 2009 ProQuest Info&Learning
File 65:Inside Conferences 1993-2009/Oct 28
(c) 2009 BLDSC all rts. reserv.
File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Sep
(c) 2009 The HW Wilson Co.
File 256:TecTrends 1982-2009/Oct W3
(c) 2009 Info.Sources Inc. All rights res.
File 2:INSPEC 1898-2009/Oct W3
(c) 2009 The IET
File 634:San Jose Mercury Jun 1985-2009/Oct 28
(c) 2009 San Jose Mercury News
File 610:Business Wire 1999-2009/Oct 29
(c) 2009 Business Wire.
File 613:PR Newswire 1999-2009/Oct 29
(c) 2009 PR Newswire Association Inc
File 810:Business Wire 1986-1999/Feb 28
(c) 1999 Business Wire
File 813:PR Newswire 1987-1999/Apr 30
(c) 1999 PR Newswire Association Inc
File 20:Dialog Global Reporter 1997-2009/Oct 29
(c) 2009 Dialog
File 997:Newsroom 2000-2003
(c) 2009 Dialog
File 626:Bond Buyer Full Text 1981-2008/Jul 07
(c) 2008 Bond Buyer
File 268:Banking Info Source 1981-2009/Oct W3
(c) 2009 ProQuest Info&Learning
File 249:Mgt. & Mktg. Abs. 1976-2007Apr W5
(c) 2007 Pira International
File 9:Business & Industry(R) Jul/1994-2009/Oct 28
(c) 2009 Gale/Cengage
File 13:BAMP 2009/Oct 28

(c) 2009 Gale/Cengage
 File 15:ABI/Inform(R) 1971-2009/Oct 28
 (c) 2009 ProQuest Info&Learning
 File 16:Gale Group PROMT(R) 1990-2009/Oct 05
 (c) 2009 Gale/Cengage
 File 75:TGG Management Contents(R) 86-2009/Sep W4
 (c) 2009 Gale/Cengage
 File 148:Gale Group Trade & Industry DB 1976-2009/Oct 12
 (c) 2009 Gale/Cengage
 File 160:Gale Group PROMT(R) 1972-1989
 (c) 1999 The Gale Group
 File 275:Gale Group Computer DB(TM) 1983-2009/Sep 29
 (c) 2009 Gale/Cengage
 File 485:Accounting & Tax DB 1971-2009/Oct W3
 (c) 2009 ProQuest Info&Learning
 File 621:Gale Group New Prod.Annou.(R) 1985-2009/Sep 21
 (c) 2009 Gale/Cengage
 File 636:Gale Group Newsletter DB(TM) 1987-2009/Oct 05
 (c) 2009 Gale/Cengage
 File 267:Finance & Banking Newsletters 2008/Sep 29
 (c) 2008 Dialog
 File 624:McGraw-Hill Publications 1985-2009/Oct 29
 (c) 2009 McGraw-Hill Co. Inc
 File 625:American Banker Publications 1981-2008/Jun 26
 (c) 2008 American Banker
 File 56:Computer and Information Systems Abstracts 1966-2009/Oct
 (c) 2009 CSA.
 File 120:U.S. Copyrights 1978-2009/Oct 27
 (c) format only 2009 Dialog
 File 426:LCMARC-Books 1968-2009/Oct W4
 (c) format only 2009 Dialog
 File 430:British Books in Print 2007/Jan W3
 (c) 2007 J. Whitaker & Sons Ltd.
 File 483:Newspaper Abs Daily 1986-2009/Oct 29
 (c) 2009 ProQuest Info&Learning
 File 347:JAPIO Dec 1976-2009/Jun(Updated 090923)
 (c) 2009 JPO & JAPIO
 File 348:EUROPEAN PATENTS 1978-200944
 (c) 2009 European Patent Office
 File 349:PCT FULLTEXT 1979-2009/UB= 20091022|UT= 20091015
 (c) 2009 WIPO/Thomson
 File 350:Derwent WPIX 1963-2009/UD= 200968
 (c) 2009 Thomson Reuters
 File 371:French Patents 1961-2002/BOPI 200209
 (c) 2002 INPI. All rts. reserv.

| Set | Items | Description |
|-----|-------|---|
| S1 | 31 | AU= (RANZINI S? OR RANZINI, S? OR RANZINI(2N))(STEPHEN OR ST- |

EVEN OR STEVE))
 S2 14 S1 FROM 347,348,349,350,371
 S3 5 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS
 OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHA-
 NGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR
 CONVERSIONS) OR FX
 S4 5 S2 AND S3
 S5 5 IDPAT (sorted in duplicate/non-duplicate order)
 S6 4 IDPAT (primary/non-duplicate records only)
 S7 17 S1 NOT S2
 S8 0 S3 AND S7
 S9 3 (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT?-
 ?? OR FINANCIAL()PRODUCT? ? OR EQUITIES OR EQUITY)()(MARKET OR
 MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANG?) OR NYSE
 OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT
 S10 0 S7 AND S9
 S11 9 S7 AND (BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR -
 EXCHANG??? OR ORDER OR ORDERS OR PURCHAS??? OR SALE OR SALES -
 OR SELL??? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TR-
 ANSACTIONS)
 S12 7 RD (unique items)
 S13 11 S6 OR S12

13/AA,AN,AZ,AU,TI/1 (Item 1 from file: 268)
 DIALOG(R)File 268:(c) 2009 ProQuest Info&Learning. All rts. reserv.
 00470628 698280171
 Threat to Global E-Mail System, Banks Is Growing
 Ranzini, Stephen Lange

13/AA,AN,AZ,AU,TI/2 (Item 2 from file: 268)
 DIALOG(R)File 268:(c) 2009 ProQuest Info&Learning. All rts. reserv.
 00464164 622417621
 For Interoperability, XML Is the Best Game in Town
 RANZINI, STEPHEN LANGE

13/AA,AN,AZ,AU,TI/3 (Item 1 from file: 148)
 DIALOG(R)File 148:(c) 2009 Gale/Cengage. All rts. reserv.
 0017404730 SUPPLIER NUMBER: 122147736
 Threat to Global E-Mail System, Banks Is Growing.(workshop on junk e-mail
 hosted by Organization of Economic Coordination and Development)
 Ranzini, Stephen Lange

13/AA,AN,AZ,AU,TI/4 (Item 1 from file: 625)
 DIALOG(R)File 625:(c) 2008 American Banker. All rts. reserv.

0289949

* Threat to Global E-Mail System, Banks Is Growing

BYLINE: By Stephen Lange Ranzini

13/AA,AN,AZ,AU,TI/5 (Item 2 from file: 625)

DIALOG(R) File 625:(c) 2008 American Banker. All rts. reserv.

0285495

* For Interoperability, XML Is the Best Game in Town

BYLINE: By STEPHEN LANGE RANZINI

13/AA,AN,AZ,AU,TI/6 (Item 1 from file: 483)

DIALOG(R) File 483:(c) 2009 ProQuest Info&Learning. All rts. reserv.

07715668 SUPPLIER NUMBER: 698280171

Threat to Global E-Mail System, Banks Is Growing

Ranzini, Stephen Lange

13/AA,AN,AZ,AU,TI/7 (Item 2 from file: 483)

DIALOG(R) File 483:(c) 2009 ProQuest Info&Learning. All rts. reserv.

07597797 SUPPLIER NUMBER: 622417621

For Interoperability, XML Is the Best Game in Town

RANZINI, STEPHEN LANGE

13/AA,AN,AZ,AU,TI/8 (Item 1 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01004253

SYSTEM AND METHOD FOR SECURE DATA AND FUNDS TRANSFER

SYSTEME ET PROCEDE DE TRANSFERT SECURISE DE DONNEES ET DE FONDS

Patent Applicant/Inventor:

RANZINI Stephen Lange, 101 N. Main Street # 1004, Ann Arbor,

MI 48104, US, US (Residence), US (Nationality)

WEIDEERMAN Chris, 7038 Lochmoor Drive, Ypsilanti, MI 48197, US, US

(Residence), US (Nationality)

JACOBS Don, 8815 Kenberton Drive, Oak Park, MI 48237, US, US (Residence),

US (Nationality)

Application: WO 2002US32576 20021011 (PCT/WO US0232576)

13/AA,AN,AZ,AU,TI/9 (Item 2 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

00984854

SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED FOREIGN EXCHANGE

SYSTEME ET PROCEDE D'ECHANGE DE DEVICES COTES EN BOURSE

Inventor(s):

RANZINI Stephen Lange, 101 N. Main Street # 1004, Ann Arbor, MI 48104, US,

Application: WO 2001US43552 20011114 (PCT/WO US0143552)

13/AA,AN,AZ,AU,TI/10 (Item 3 from file: 349)
DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.
00826418
SYSTEM AND METHOD FOR SECURE ELECTRONIC FUND TRANSFERS
SYSTEME ET PROCEDE DE TRANSFERT DE FONDS ELECTRONIQUE SUR
Patent Applicant/Inventor:
RANZI NI Stephen Lange, 959 Maiden Lane, Ann Arbor, MI 48105,
US, US (Residence), US (Nationality)
SHERIDAN John, 3025 Boardwalk, Ann Arbor, MI 48108, US, US (Residence),
US (Nationality)
Application: WO 2001US3999 20010208 (PCT/WO US0103999)

13/AA,AN,AZ,AU,TI/11 (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.
0013306281
WPI ACC NO: 2003-393238/
A method for empowering a stock exchange to handle currency
exchange, uses exchange shares representing a first currency in
terms of a second currency and controlled by predetermined market makers
Original Titles:
GLYCOSAMINOGLYCANS HAVING AN AVERAGE MOLECULAR WEIGHT EQUAL TO 2400 D
SUITABLE FOR THE TREATMENT OF SENILE DEMENTIA
System and method for stock exchange listed foreign exchange
SYSTEME ET PROCEDE D'ECHANGE DE DEVISES COTES EN BOURSE
Local Applications (No Type Date): WO 2001US43552 A 20011114; US
2001924005 A 20010807; AU 2002217794 A 20011114; AU 2002217794 A 20011114
Priority Applications (no., kind, date): US 2001924005 A 20010807

13/3,K/1 (Item 1 from file: 268)
DIALOG(R)File 268:Banking Info Source
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00470628 698280171
Threat to Global E-Mail System, Banks Is Growing
Ranzini, Stephen Lange
American Banker, v169, n180, p10, Sep 17, 2004 DOCUMENT TYPE: Newspaper
article; News LANGUAGE: English RECORD TYPE: Abstract
...ABSTRACT: typical stammer generates 200 million junk e-mail messages a
day and needs only 400 purchases at \$20 each to generate the \$8,000
in revenue required to break even on...

13/3,K/2 (Item 2 from file: 268)
DIALOG(R)File 268:Banking Info Source
(c) 2009 ProQuest Info&Learning. All rts. reserv.

00464164 622417621
For Interoperability, XML Is the Best Game in Town
RANZINI, STEPHEN LANGE
American Banker, v169, n78, p9, Apr 23, 2004 DOCUMENT TYPE: Newspaper
article; News LANGUAGE: English RECORD TYPE: Abstract
...ABSTRACT: most payments participants. "There is the need for
standards that can be adopted by all trading partners, and relatively
quickly," says Mike Taipale of the Federal Reserve Bank of Cleveland, who...

13/3,K/9 (Item 2 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
(c) 2009 WIPO/Thomson. All rts. reserv.

00984854 **Image available**
SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED FOREIGN EXCHANGE
SYSTEME ET PROCEDE D'ECHANGE DE DEVICES COTES EN BOURSE
Patent Applicant/Assignee:
UNIVERSITY BANK, 959 Maiden Lane, Ann Arbor, MI 48105, US, US (Residence)
, US (Nationality)
Inventor(s):
RANZINI Stephen Lange, 101 N. Main Street #1004, Ann Arbor, MI 48104, US,
Legal Representative:
OSBORNE John W (agent), Morgan & Finnegan, L.L.P., 345 Park Avenue, New
York, NY 10154, US,
Patent and Priority Information (Country, Number, Date):
Patent: WO 200314996 A1 20030220 (WO 0314996)
Application: WO 2001US43552 20011114 (PCT/WO US0143552)
Priority Application: US 2001924005 20010807
Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 5655

Fulltext Availability:

Detailed Description

Claims

English Abstract

Systems and methods related to performing currency exchange are presented. Through the establishment of "exchange shares" [101], embodiments allow for the execution of currency exchange using existing stock markets, and more specifically market martkers [103].

Detailed Description

System and Method for Stock Exchange Listed Foreign Exchange
Background Information

Currency exchange is one of the largest financial services markets with transactions averaging \$1.5 trillion per day. Currency exchange may be performed for a variety of reasons.

For example, an American retailer may need...an appropriate amount of U.S. Dollars for Yen. Even if the retailer pays in Dollars, currency exchange is likely as the Japanese company will likely wish to exchange at least some of the received U.S. Dollars for Japanese Yen. In a likewise manner, currency exchange is necessary when a company purchases supplies or components from a foreign entity. Because of...

< removed unnecessary information >

III. Text Search Results from Dialog - Patents

A. Abstract Databases

? show files;ds;cost;logoff hold

File 347:JAPIO Dec 1976-2009/Jun(Updated 090923)

(c) 2009 JPO & JAPIO

File 350:Derwent WPIX 1963-2009/UD= 200968

(c) 2009 Thomson Reuters

File 371:French Patents 1961-2002/BOPI 200209

(c) 2002 INPI. All rts. reserv.

Set Items Description

- S1 5420 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX
- S2 5420 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX
- S3 121 (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT??? OR FINANCIAL()PRODUCT? ? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANG?) OR NYSE OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT
- S4 2136 BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR EXCHANG??? OR ORDER OR ORDERS OR PURCHAS??? OR SALE OR SALES OR SELL??? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TRANSACTIONS
- S5 135 DOLLAR OR DOLLARS OR (US OR UNITED())STATES OR AMERICAN OR - NATIVE OR ORIGINAL OR BASELINE OR BASE()LINE OR PRIMARY OR BEGIN? ? OR BEGINNING OR ISSUE OR ISSUED OR STARTING OR INITIAL-)(2N)(CURRENCY OR MONEY OR CASH OR MONIES OR (UNIT OR UNITS)(-1W)EXCHANGE)
- S6 61 S2(7N)S3
- S7 61 S4(10N)S6
- S8 6 S5(S)S7
- S9 63 S2(10N)S3
- S10 63 S4(20N)S9
- S11 6 S5(S)S10
- S12 3 S5(50N)S10
- S13 7 S2(S)S3(S)S4(S)S5
- S14 7 S8 OR S11 OR S12 OR S13
- S15 7 IDPAT (sorted in duplicate/non-duplicate order)
- S16 7 IDPAT (primary/non-duplicate records only)

16/AN,AZ,TI/1 (Item 1 from file: 350)
DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.
0015570253
Dollar equivalent stock certificate insurance certificate for global
stock trading, entitles bearer the right to convert
foreign currency stock price into its dollar equivalent
Original Titles:
Insurance for dollar equivalent stock certificates
Local Applications (No Type Date): US 2004710540 A 20040720; US
2004710857 A 20040808
Priority Applications (number, kind, date): US 2004710540 A 20040720; US
2004710857 A 20040808

16/AN,AZ,TI/2 (Item 2 from file: 350)
DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.
0015570252
Global stock trading commercial paper product for use in e.g. inter alia,
has trading system with server and trading platforms, where trading system
converts foreign stocks from foreign exchanges into dollar equivalent
Original Titles:
Global Stock Trading with Uniform Monetization
Local Applications (No Type Date): US 2004710540 A 20040720
Priority Applications (number, kind, date): US 2004710540 A 20040720

16/AN,AZ,TI/3 (Item 3 from file: 350)
DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.
0015075622
Financial market price prediction method involves calculating weight for
each input variable using training sets of data for output and input
variables, and generating value for output variable to predict next price
movement using weights
Original Titles:
Method and system for artificial neural networks to predict price movements
in the financial markets
PROCEDE ET SYSTEME POUR RESEAUX NEURONAUX ARTIFICIELS DESTINES A PREVOIR
LES MOUVEMENTS DE PRIX SUR LES MARCHES FINANCIERS
Local Applications (No Type Date): WO 2004US38568 A 20041118; US
2003520659 P 20031118; US 2004990960 A 20041118; US 2003520659 P
20031118; US 2004990960 A 20041118
Priority Applications (no., kind, date): US 2003520659 P 20031118; US
2004990960 A 20041118

16/AN,AZ,TI/4 (Item 4 from file: 350)
DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.
0014262012

Depository receipt authority for electronic security trading system, has processor which communicates with computer system to facilitate issuance or cancellation of receipts in response to request

Original Titles:

Systems and methods for direct electronic trading of depository receipts

Local Applications (No Type Date): US 2002309750 A 20021203

Priority Applications (no., kind, date): US 2002309750 A 20021203

16/AN,AZ,TI/5 (Item 5 from file: 350)

DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.

0014262011

On-line invoice management method for patent and trademark fee payment system, involves generating invoice containing identification information for client matter and requested foreign fee associated with matter for payment to vendor

Original Titles:

Computerized patent and trademark fee payment method and system

Local Applications (No Type Date): US 1997898377 A 19970722; US

2003640415 A 20030812

Priority Applications (no., kind, date): US 1997898377 A 19970722; US

2003640415 A 20030812

16/AN,AZ,TI/6 (Item 6 from file: 350)

DIALOG(R)File 350:(c) 2009 Thomson Reuters. All rts. reserv.

0014043120

Patent and trademark agency fees managing method, involves issuing funds to foreign associate in foreign associates native currency and billing associate funds and transaction fee in legal service providers native currency

Original Titles:

Computerized patent and trademark fee payment method and system

Local Applications (No Type Date): US 1997898377 A 19970722; US

1999418969 A 19991014; US 2003348784 A 20030122

Priority Applications (no., kind, date): US 1997898377 A 19970722; US

1999418969 A 19991014; US 2003348784 A 20030122

16/AN,AZ,TI/7 (Item 7 from file: 347)

DIALOG(R)File 347:(c) 2009 JPO & JAPIO. All rts. reserv.

07294966

MEASURING METHOD FOR MONEY BALANCE AND MARKET BALANCE

APPL. NO.: 2001-377919 [JP 2001377919]

16/3,K/1 (Item 1 from file: 350)
DIALOG(R)File 350:Derwent WPIX
(c) 2009 Thomson Reuters. All rts. reserv.

0015570253

WPI ACC NO: 2006-134414/200614

Related WPI Acc No: 2006-134413

XRPX Acc No: N2006-116460

Dollar equivalent stock certificate insurance certificate for global
stock trading, entitles bearer the right to convert
foreign currency stock price into its dollar equivalent

Patent Assignee: PIECZENIK G (PIEC-I)

Inventor: PIECZENIK G

Patent Family (1 patents, 1 countries)

Patent Application

| Number | Kind | Date | Number | Kind | Date | Update |
|----------------|------|----------|---------------|------|----------|----------|
| US 20060020535 | A1 | 20060126 | US 2004710540 | A | 20040720 | 200614 B |
| | | | US 2004710857 | A | 20040808 | |

Priority Applications (no., kind, date): US 2004710540 A 20040720; US
2004710857 A 20040808

Patent Details

Number Kind Lan Pg Dwg Filing Notes

US 20060020535 A1 EN 3 0 Division of application US 2004710540

Claims:

...secured by a reputable insurance company or corporation which insures
the bearer the right to convert the foreign currency
stock price into its dollar equivalent and to
pay the bearer of said DESC Insurance Certificate the U.S.
dollar equivalent of the foreign shares owned.

16/3,K/7 (Item 7 from file: 347)
DIALOG(R)File 347:JAPIO
(c) 2009 JPO & JAPIO. All rts. reserv.

07294966 **Image available**

MEASURING METHOD FOR MONEY BALANCE AND MARKET BALANCE

PUB. NO.: 2002-163441 [JP 2002163441 A]

PUBLISHED: June 07, 2002 (20020607)

INVENTOR(s): KAKU FUKUBIN

APPLICANT(s): KAKU FUKUBIN

APPL. NO.: 2001-377919 [JP 2001377919]

FILED: November 06, 2001 (20011106)

ABSTRACT

... derived. Further, a calculation expression for the balance rate of the
money value and an exchange rate is presented. Secondly, demand
equations of a money issue quantity and a macro money quantity

are derived according to the relation between the money...

...derived according to the proportion relation between two dealt things in an article market, a stock market, and a foreign exchange market. A means for judging whether or not market variation and price variation are balanced...

B. Full-Text Databases

? show files; ds; cost; logoff hold

File 348:EUROPEAN PATENTS 1978-200944

(c) 2009 European Patent Office

File 349:PCT FULLTEXT 1979-2009/UB= 20091022|UT= 20091015

(c) 2009 WIPO/Thomson

Set Items Description

S1 16229 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS
OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHA-
NGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR
CONVERSIONS) OR FX

S2 16229 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS
OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHA-
NGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR
CONVERSIONS) OR FX

S3 765 (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT?-
?? OR FINANCIAL()PRODUCT? ? OR EQUITIES OR EQUITY)()(MARKET OR
MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANGE?) OR NYSE
OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT

S4 13644 BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR EXCHANGE?-
?? OR ORDER OR ORDERS OR PURCHAS??? OR SALE OR SALES OR SELL?-
?? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TRANSACTIONS

S5 1579 DOLLAR OR DOLLARS OR (US OR UNITED()STATES OR AMERICAN OR -
NATIVE OR ORIGINAL OR BASELINE OR BASE()LINE OR PRIMARY OR BE-
GIN? ? OR BEGINNING OR ISSUE OR ISSUED OR STARTING OR INITIAL-
) (2N)(CURRENCY OR MONEY OR CASH OR MONIES OR (UNIT OR UNITS)(-
1W)EXCHANGE)

S6 121 S2(7N)S3

S7 119 S4(10N)S6

S8 16 S5(S)S7

S9 16 IDPAT (sorted in duplicate/non-duplicate order)

S10 16 IDPAT (primary/non-duplicate records only)

10/AN,AZ,TI/1 (Item 1 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01800851

BASKET CREATION PROCESS FOR ACTIVELY MANAGED ETF THAT DOES NOT REVEAL
ALL OF THE UNDERLYING FUND SECURITIES AND INVESTMENT COMPANY THAT
INVESTS IN FIXED INCOME SECURITIES AND HAS CONVENTIONAL AND ETF SHARE
CLASSES WITH DIFFERENT DIVIDEND PAYMENT FREQUENCIES
PROCEDE DE CREATION DE PANIER POUR UN FONDS INDICIEL NEGOCIABLE EN
BOURSE (ETF) GERE ACTIVEMENT QUI NE REVELE PAS TOUS LES TITRES DE FONDS
SOUS-JACENTS ET SOCIETE D'INVESTISSEMENT QUI INVESTIT DANS DES VALEURS

A REVENUS FIXES ET A DES CLASSES DE FONDS CLASSIQUES ET INDICIELS
NEGOCIABLES EN BOURSE AVEC DES FREQUENCES DE PAIEMENT DE DIVIDENDES
DIFFERENTES

Application: WO 2008US77052 20080919 (PCT/WO US2008077052)

10/AN,AZ,TI/2 (Item 2 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01551473

VIRTUAL ENVIRONMENT WITH BINDING CONTRACTS BETWEEN PLAYERS
ENVIRONNEMENT VIRTUEL COMPORTANT DES CONTRATS D'OBLIGATION ENTRE
JOUEURS

Application: WO 2007US62119 20070214 (PCT/WO US2007062119)

10/AN,AZ,TI/3 (Item 3 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01548570

A METHOD AND SYSTEM FOR REPRESENTING FINANCIAL INFORMATION IN A GAMING
ENVIRONMENT

PROCEDE ET SYSTEME DE PRESENTATION D'INFORMATIONS FINANCIERES DANS UN
ENVIRONNEMENT DE JEU

Application: WO 2006IL149 20060207 (PCT/WO IL2006000149)

10/AN,AZ,TI/4 (Item 4 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01517870

SYSTEM AND METHOD FOR CENTRALIZED CLEARING OF OVER THE COUNTER
FOREIGN EXCHANGE INSTRUMENTS

SYSTEME ET PROCEDE DE COMPENSATION CENTRALISEE D'INSTRUMENTS
D'OPERATION SUR LES DEVISES HORS COTE

Application: WO 2006US43282 20061108 (PCT/WO US2006043282)

10/AN,AZ,TI/5 (Item 5 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01517820

DETECTION OF INTRA-FIRM MATCHING AND RESPONSE THERETO

DETECTION DE CORRESPONDANCES ENTRE ENTREPRISES ET REPONSE
CORRESPONDANTE

Application: WO 2006US44917 20061117 (PCT/WO US2006044917)

10/AN,AZ,TI/6 (Item 6 from file: 349)

DIALOG(R) File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01517778

CROSS-CURRENCY IMPLIED SPREADS

OPERATIONS CROISEES MIXTES SUR LES MONNAIES

Application: WO 2006US44932 20061117 (PCT/WO US2006044932)

10/AN,AZ,TI/7 (Item 7 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01516526

MULTIPLE QUOTE RISK MANAGEMENT

GESTION DES RISQUES DE COTATIONS MULTIPLES

Application: WO 2006US44702 20061117 (PCT/WO US2006044702)

10/AN,AZ,TI/8 (Item 8 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01514086

SYSTEM AND METHOD FOR DIRECTED REQUEST FOR QUOTE

SYSTEME ET METHODE DE REQUETE DIRIGEE DE COTATION

Application: WO 2006US27762 20060719 (PCT/WO US2006027762)

10/AN,AZ,TI/9 (Item 9 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01243309

METHOD AND SYSTEM FOR ARTIFICIAL NEURAL NETWORKS TO PREDICT PRICE
MOVEMENTS IN THE FINANCIAL MARKETS

PROCEDE ET SYSTEME POUR RESEAUX NEURONAUX ARTIFICIELS DESTINES A PREVOIR
LES MOUVEMENTS DE PRIX SUR LES MARCHES FINANCIERS

Application: WO 2004US38568 20041118 (PCT/WO US04038568)

10/AN,AZ,TI/10 (Item 10 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01232016

ASSET ANALYSIS ACCORDING TO THE REQUIRED YIELD METHOD

ANALYSE DE BIENS EN FONCTION DU PROCEDE DE RENDEMENT REQUIS

Application: WO 2004US33080 20041007 (PCT/WO US2004033080)

10/AN,AZ,TI/11 (Item 11 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01213391

ENHANCED PARIMUTUEL WAGERING

PARI DU TYPE PARI MUTUEL AMELIORE

Application: WO 2004US25434 20040806 (PCT/WO US2004025434)

10/AN,AZ,TI/12 (Item 12 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

01122525

METHOD AND APPARATUS FOR TRADING ASSETS

PROCEDE ET APPAREIL POUR LA NEGOCIATION D'ACTIFS

Application: WO 2003US35486 20031107 (PCT/WO US03035486)

10/AN,AZ,TI/13 (Item 13 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

00984854

SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED FOREIGN EXCHANGE

SYSTEME ET PROCEDE D'ECHANGE DE DEVISES COTES EN BOURSE

Application: WO 2001US43552 20011114 (PCT/WO US0143552)

10/AN,AZ,TI/14 (Item 14 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

00934946

INTERNATIONAL TRADING OF SECURITIES

COMMERCE INTERNATIONAL DE VALEURS MOBILIERES

Application: WO 2002US6583 20020228 (PCT/WO US0206583)

10/AN,AZ,TI/15 (Item 15 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

00781959

APPARATUS AND METHOD FOR PROVIDING FINANCIAL INFORMATION AND/OR

INVESTMENT INFORMATION

PROCEDE ET DISPOSITIF DE FOURNITURE D'INFORMATIONS SUR LES FINANCES ET/OU

LES INVESTISSEMENTS

Application: WO 2000US23074 20000823 (PCT/WO US0023074)

10/AN,AZ,TI/16 (Item 16 from file: 349)

DIALOG(R)File 349:(c) 2009 WIPO/Thomson. All rts. reserv.

00777985

TRUE DOLLAR FLOW INDICATOR SYSTEM

SYSTEME D'INDICATION DES COURS REELS EN DOLLARS

Application: WO 2000US21319 20000804 (PCT/WO US0021319)

10/3,K/6 (Item 6 from file: 349)
DIALOG(R) File 349: PCT FULLTEXT
(c) 2009 WIPO/Thomson. All rts. reserv.

01517778

CROSS-CURRENCY IMPLIED SPREADS
OPERATIONS CROISEES MIXTES SUR LES MONNAIES

Patent Applicant/Assignee:

CHICAGO MERCANTILE EXCHANGE, 20 South Wacker Drive, Chicago, IL 60606, US
, US (Residence), US (Nationality), (For all designated states except: US)
FLEMM Lori (legal-representative to dead inventor ALBERT, William, J.),
7722 - 56th Ave. NE, Olympia, WA 98516, US, US (Residence), US
(Nationality), (Designated only for: US)

Inventor(s):

ALBERT William J (deceased)

Patent Applicant/Inventor:

BAUERSCHMIDT Paul A, 5055 N. Winchester # 1, Chicago, IL 60640, US, US
(Residence), US (Nationality), (Designated only for: US)
STUDNITZER Ari L, 2515 N. Racine, Chicago, IL 60614, US, US (Residence),
US (Nationality), (Designated only for: US)
CALLAWAY Paul J, 2343 W. Moffat, Chicago, IL 60647, US, US (Residence),
US (Nationality), (Designated only for: US)
FARRELL James W, 684 Larch Drive, Carol Stream, IL 60188, US, US
(Residence), US (Nationality), (Designated only for: US)
THIRUTHUVADOSS A Shanthi, 33 W. Huron Street # 314, Chicago, IL 60614, US,
US (Residence), IN (Nationality), (Designated only for: US)

Legal Representative:

KATZ James L (agent), Brinks Hofer Gilson & Lione, P.O. Box 10087,
Chicago, IL 60610, US

Patent and Priority Information (Country, Number, Date):

Patent: WO 200761970 A2-A3 20070531 (WO 0761970)
Application: WO 2006US44932 20061117 (PCT/WO US2006044932)
Priority Application: US 2005738246 20051118

Designated States:

(All protection types applied unless otherwise stated - for applications 2004+)
AE AG AL AM AT AU AZ BA BB BG BR BW BY BZ CA CH CN CO CR CU CZ DE DK DM
DZ EC EE EG ES FI GB GD GE GH GM GT HN HR HU ID IL IN IS JP KE KG KM KN
KP KR KZ LA LC LK LR LS LT LU LV LY MA MD MG MK MN MW MX MY MZ NA NG NI
NO NZ OM PG PH PL PT RO RS RU SC SD SE SG SK SL SM SV SY TJ TM TN TR TT
TZ UA UG US UZ VC VN ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IS IT LT LU LV MC NL
PL PT RO SE SI SK TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) BW GH GM KE LS MW MZ NA SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 32549

Fulltext Availability:

Detailed Description

[0005] The foreign exchange market is the largest and most liquid financial market in the world, representing more than \$1.2 trillion worth of transactions each day. Also...

...currency while selling another currency. Currencies are typically traded in pairs, such as U.S. dollar/Japanese yen (USD/JPY) or Euro/U.S. dollar (EUR/USD), or via currency indexes, such as the CME\$INDEX(TM).

10/3,K/12 (Item 12 from file: 349)

DIALOG(R)File 349:PCT FULLTEXT

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01122525 **Image available**

METHOD AND APPARATUS FOR TRADING ASSETS

PROCEDURE ET APPAREIL POUR LA NEGOCIATION D'ACTIFS

Patent Applicant/Assignee:

FX ALLIANCE LLC, 900 Third Avenue, Third Floor, New York, NY 10022, US,
US (Residence), US (Nationality)

Inventor(s):

PENNY Neill, 28 Chadwick Place, Surbiton, Surrey KT6 5RE, GB,
WRIGHT David, 320 E. 46th Street, Apt. 8-E, New York, NY 10017, US,

Legal Representative:

WHITE Grady L (agent), Law Offices of Grady L. White, LLC, 7272 Wisconsin
Avenue, Suite 300, Bethesda, MD 20814, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200444811 A1 20040527 (WO 0444811)

Application: WO 2003US35486 20031107 (PCT/WO US03035486)

Priority Application: US 2002424682 20021108

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NI NO NZ OM PH PL PT RO RU SC SD SE
SG SK SL TJ TM TN TR TT TZ UA UG UZ VC VN YU ZA ZM ZW
(EP) AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HU IE IT LU MC NL PT RO SE
SI SK TR

(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG

(AP) BW GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 15204

Fulltext Availability:

Detailed Description

... trade requirements involving assets from different classes. For

instance, a customer may be interested in buying a security sold on a foreign securities market. But he must first convert his domestic currency (e.g., U.S. dollars) into the currency accepted by that foreign market (e.g., yen). Thus, the set of...

10/3,K/13 (Item 13 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00984854 ** Image available**

SYSTEM AND METHOD FOR STOCK EXCHANGE LISTED FOREIGN EXCHANGE
SYSTEME ET PROCEDE D'ECHANGE DE DEVICES COTES EN BOURSE

Patent Applicant/Assignee:

UNIVERSITY BANK, 959 Maiden Lane, Ann Arbor, MI 48105, US, US (Residence)
, US (Nationality)

Inventor(s):

RANZINI Stephen Lange, 101 N. Main Street # 1004, Ann Arbor, MI 48104, US,

Legal Representative:

OSBORNE John W (agent), Morgan & Finnegan, L.L.P., 345 Park Avenue, New
York, NY 10154, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200314996 A1 20030220 (WO 0314996)

Application: WO 2001US43552 20011114 (PCT/WO US0143552)

Priority Application: US 2001924005 20010807

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TR TT TZ UA UG UZ VN YU ZA ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 5655

Fulltext Availability:

Claims

Detailed Description

... prices for that security. These bid and offer prices tend to be
expressed using the native currency of the exchange.

For example in the NASDAQ exchange, where the native
currency is the U.S. Dollar, the level 1 quote for Apple

Computer might note that ...two letters being the ISO country code for
the foreign currency.

For example, a particular exchange share may represent 1000 Yen to

be listed on the NASDAQ exchange in terms of the native currency of the exchange, the U.S. Dollar. If ISO country codes were employed in nomenclature, this exchange share might be given the...than one exchange share.

Operation

In certain embodiments, an individual or entity planning to perform foreign exchange on an existing stock exchange empowered by the present invention to offer this functionality would first establish with a broker an account denominated in the native currency of the stock exchange (step 201). Funds necessary to execute ...by simultaneously forwarding to market makers the requests of steps 105, one may use a stock exchange only allowing transactions in native currency to convert between two listed non-native currencies without the problems that would arise from waiting for...may be eliminated for markets which allow for listing and exchange in other than the native currency. Thus if an exchange's native currency was the U.S. Dollar but allowed for listing and exchange in other than its native currency, at least the following exchange pairs could be listed. USEU USJP JPEU wherein "USEU" and...

Claim

1) A method for empowering a stock exchange to handle currency exchange, comprising: establishing on a stock exchange a predetermined number of exchange shares, each said exchange share representing a first currency valued in terms of a second currency; and establishing a...

< removed unnecessary information >

10/3,K/14 (Item 14 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00934946 **Image available**

INTERNATIONAL TRADING OF SECURITIES
COMMERCE INTERNATIONAL DE VALEURS MOBILIERES

Patent Applicant/Inventor:

SLONE Jonathan, 64 Horatio Street, New York, NY 10041, US, US (Residence)
, US (Nationality)

Legal Representative:

BYRNE Matthew T (agent), Shearman & Sterling, Intellectual Property
Docketing, 599 Lexington Avenue, New York, NY 10022, US,

Patent and Priority Information (Country, Number, Date):

Patent: WO 200269116 A2-A3 20020906 (WO 0269116)

Application: WO 2002US6583 20020228 (PCT/WO US0206583)

Priority Application: US 2001272152 20010228

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)
AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CO CR CU CZ DE DK DM DZ
EC EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR
LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ OM PH PL PT RO RU SD SE SG SI
SK SL TJ TM TN TR TT TZ UA UG US UZ VN YU ZA ZM ZW
(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR
(OA) BF BJ CF CG CI CM GA GN GQ GW ML MR NE SN TD TG
(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZM ZW
(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 11487

Fulltext Availability:

Detailed Description

... to Local Brokers 102 to local stock exchanges 112 or, perhaps, send them to the trading desk of a Local Broker 102 for execution.

Securities Trading System 100 may provide foreign exchange services, so that Local Brokers 102 and their clients 106 may execute their trades in...

...currencies. For example, a U.S. client 106 may be able to order an equity transaction in Italy and an equity transaction in Spain, and Securities Trading System 100 may perform all currency translations and conversions so that the entire transaction appears to have been executed in U.S. dollars.

10/3,K/15 (Item 15 from file: 349)
DIALOG(R)File 349:PCT FULLTEXT
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00781959 **Image available**

APPARATUS AND METHOD FOR PROVIDING FINANCIAL INFORMATION AND/OR INVESTMENT INFORMATION
PROCÉDE ET DISPOSITIF DE FOURNITURE D'INFORMATIONS SUR LES FINANCES ET/OU LES INVESTISSEMENTS

Patent Applicant/Inventor:

JOAO Raymond Anthony, 122 Bellevue Place, Yonkers, NY 10703, US, US
(Residence), US (Nationality)

Legal Representative:

JOAO Raymond Anthony (agent), 122 Bellevue Place, Yonkers, NY 10703, US,
Patent and Priority Information (Country, Number, Date):

Patent: WO 200115093 A2-A3 20010301 (WO 0115093)

Application: WO 2000US23074 20000823 (PCT/WO US0023074)

Priority Application: US 99150410 19990824

Designated States:

(Protection type is "patent" unless otherwise stated - for applications prior to 2004)

AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE
ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT

LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM

TR TT TZ UA UG US UZ VN YU ZA ZW

(EP) AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE

(OA) BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG

(AP) GH GM KE LS MW MZ SD SL SZ TZ UG ZW

(EA) AM AZ BY KG KZ MD RU TJ TM

Publication Language: English

Filing Language: English

Fulltext Word Count: 22868

Fulltext Availability:

Detailed Description

... limited to, NYSE, AMEX, NASD, NASDAQ, CBOE, CBOT, NY

5 Mercantile, Chicago Mercantile, U.S. Dollar value, German

Deutschemark value, Japanese Yen value, commodities futures,
financial futures, deferred asset funds,, equity...

...bond rate, Moody's and/or

Standard & Poors ratings, money rates, including U.S. and

foreign, foreign exchange rates, NASDAQ small cap issues, U.S.

regional markets, bond redemption rates, bond and/or debt default...

IV. Text Search Results from Dialog - NPL

A. Abstract Databases

? show files;ds;cost;logoff hold

File 471:New York Times Fulltext 1980-2009/Oct 28

(c) 2009 The New York Times

File 139:EconLit 1969-2009/Oct

(c) 2009 American Economic Association

File 583:Gale Group Globalbase(TM) 1986-2002/Dec 13

(c) 2002 Gale/Cengage

File 474:New York Times Abs 1969-2009/Oct 29

(c) 2009 The New York Times

File 475:Wall Street Journal Abs 1973-2009/Oct 29

(c) 2009 The New York Times

File 35:Dissertation Abs Online 1861-2009/Sep

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File 65:Inside Conferences 1993-2009/Oct 28

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File 99:Wilson Appl. Sci & Tech Abs 1983-2009/Sep

(c) 2009 The HW Wilson Co.

File 256:TecTrends 1982-2009/Oct W3

(c) 2009 Info.Sources Inc. All rights res.

File 2:INSPEC 1898-2009/Oct W3

(c) 2009 The IET

| Set | Items | Description |
|-----|-------|--|
| S1 | 62747 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S2 | 62747 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S3 | 10336 | (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT?- ?? OR FINANCIAL() PRODUCT? ? OR EQUITIES OR EQUITY())(MARKET OR MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANG?) OR NYSE OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT |
| S4 | 59031 | BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR EXCHANG?- ?? OR ORDER OR ORDERS OR PURCHAS??? OR SALE OR SALES OR SELL?- ?? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TRANSACTIONS |
| S5 | 19105 | DOLLAR OR DOLLARS OR (US OR UNITED())STATES OR AMERICAN OR - NATIVE OR ORIGINAL OR BASELINE OR BASE()LINE OR PRIMARY OR BEGIN? ? OR BEGINNING OR ISSUE OR ISSUED OR STARTING OR INITIAL-)(2N)(CURRENCY OR MONEY OR CASH OR MONIES OR (UNIT OR UNITS)(- 1W)EXCHANGE) |

S6 1344 S2(7N)S3
 S7 1317 S4(10N)S6
 S8 214 S5(S)S7
 S9 1194 S2(5N)S3
 S10 1172 S4(7N)S9
 S11 112 S5(10N)S10
 S12 79 S11 NOT (PY> 2001 OR PD= 20010808:20011231)
 S13 78 RD (unique items)
 S14 1022 S2(3N)S3
 S15 1010 S4(5N)S14
 S16 78 S5(7N)S15
 S17 56 S16 NOT (PY> 2001 OR PD= 20010808:20011231)
 S18 55 RD (unique items)

18/6/1 (Item 1 from file: 471)
 03167984 747297961011
 CURRENCY MARKETS; Dollar Slips Against the Yen, Hurt by the Slump in Bonds
 Friday October 11 1996
 Word Count: 346

18/6/2 (Item 2 from file: 471)
 03036626 933895950521
 What Kind of Mortgage?
 Sunday May 21 1995
 Word Count: 497

18/6/3 (Item 3 from file: 471)
 02886205 004987941204
 Born to Be Wild. Scratch That. Born to Be Mild.
 Sunday December 4 1994
 Word Count: 746

18/6/4 (Item 4 from file: 471)
 02844422 486388940624
 CREDIT MARKETS; Treasury Prices End Day Mixed
 Friday June 24 1994
 Word Count: 793

18/6/5 (Item 5 from file: 471)
 02611402 473294930213
 Bronfmans Pulling Out Of Labatt
 Saturday February 13 1993
 Word Count: 829

18/6/6 (Item 6 from file: 471)
02470471 727733920826
CURRENCY MARKETS; Slight Gain Managed By Dollar
Wednesday August 26 1992
Word Count: 823

18/6/7 (Item 7 from file: 471)
02282087 926221910930
Weak Dollar Magnifies Gains of Foreign Stock Exchanges
Monday September 30 1991
Word Count: 590

18/6/8 (Item 8 from file: 471)
02244465 017272901023
A Bronfman Empire Stumbles
Tuesday October 23 1990
Word Count: 1539

18/6/9 (Item 9 from file: 471)
01881758 002120891006
CURRENCY MARKETS; Europe Lifts Key Rates, But the Dollar Still Rises
Friday October 6 1989
Word Count: 670

18/6/10 (Item 10 from file: 471)
01867241 121841890813
BUSINESS FORUM: AFTER THE FUTURES SCANDAL; Chicago Must Modernize or Perish
Sunday August 13 1989
Word Count: 1030

18/6/11 (Item 11 from file: 471)
01808797 133328890130
BUSINESS DIGEST
Monday January 30 1989
Word Count: 641

18/6/12 (Item 12 from file: 471)
01695918 188411881110
Markets Drop After Election Amid Worries About Deficits
Thursday November 10 1988
Word Count: 1146

18/6/13 (Item 13 from file: 471)
01689380 136550881022
COMPANY NEWS; Holly Will Consider New Tyson Foods Bid
Saturday October 22 1988
Word Count: 302

18/6/14 (Item 14 from file: 471)
01674491 256682880901
Tokyo Stock Prices Plunge With the Dollar Up Sharply
Thursday September 1 1988
Word Count: 298

18/6/15 (Item 15 from file: 471)
01649739 032347880609
Greenspan Sees Harm If Dollar Falls Further
Thursday June 9 1988
Word Count: 420

18/6/16 (Item 16 from file: 471)
01631177 128903880415
Economic Scene; Finding Ways To Support Dollar
Friday April 15 1988
Word Count: 914

18/6/17 (Item 17 from file: 471)
01622776 027688880315
CREDIT MARKETS; Bonds Mark Time on a Quiet Day
Tuesday March 15 1988
Word Count: 621

18/6/18 (Item 18 from file: 471)
01614182 201669880216
Nation's Biggest Banks Had Worst Year in 1987 Since the Depression
Tuesday February 16 1988
Word Count: 1978

18/6/19 (Item 19 from file: 471)
01492403 155174871031
BUSINESS DIGEST SATURDAY, OCTOBER 31, 1987
Saturday October 31 1987
Word Count: 654

18/6/20 (Item 20 from file: 471)
01489227 123241871021
THE MARKET TURMOIL: RIPPLES AROUND THE GLOBE; Tokyo Rebounds From Its Big Loss
Wednesday October 21 1987
Word Count: 864

18/6/21 (Item 21 from file: 471)
01446498 243761870601
CREDIT MARKETS; Guarded Optimism on Bonds
Monday June 1 1987
Word Count: 1032

18/6/22 (Item 22 from file: 471)
01313600 005019860102
GAINS IN O-T-C MARKET OUTRAN THE EXCHANGES
Thursday January 2 1986
Word Count: 684

18/6/23 (Item 23 from file: 471)
01049522 131235850719
ECONOMIC SCENE; Easing the Fall Of the Dollar
Friday July 19 1985
Word Count: 904

18/6/24 (Item 24 from file: 471)
01035310 230064850901
POWER PLAYS; IN BOTH AFRICAS, INSTABILITY UNDERMINES A RICH POTENTIAL
Sunday September 1 1985
Word Count: 1223

18/6/25 (Item 25 from file: 471)
01013555 076201850308
ECONOMIC SCENE; FED, REAGAN AND THE DOLLAR
Friday March 8 1985
Word Count: 957

18/6/26 (Item 26 from file: 471)
01007474 197739850107
Australian Leader's Economic Policy Facing Test
Monday January 7 1985
Word Count: 1083

18/6/27 (Item 27 from file: 471)
00903682 228346840207
CURRENCY MARKETS Fed Report Aids Dollar In U.S.; Gold Is Lower
Tuesday February 7 1984
Word Count: 354

18/6/28 (Item 28 from file: 471)
00873272 180582840510
Market Place; Foreign Stocks Lure Big Funds
Thursday May 10 1984
Word Count: 741

18/6/29 (Item 29 from file: 471)
00297232 235980810123
FRIDAY, JANUARY 23, 1981; The Economy
Friday January 23 1981
Word Count: 777

18/6/30 (Item 30 from file: 471)
00269186 120323810515
Business Digest; FRIDAY, MAY 15, 1981; Markets
Friday May 15 1981
Word Count: 794

18/6/31 (Item 31 from file: 471)
00254919 245879810825
FILMLESS VIDEO CAMERA IS INTRODUCED BY SONY
Tuesday August 25 1981
Word Count: 1007

18/6/32 (Item 32 from file: 471)
00012262 030791800730
By Jerry Rubin; GUESS WHO'S COMING TO WALL STREET
Wednesday July 30 1980
Word Count: 947

18/6/33 (Item 1 from file: 139)
697752
TITLE: Estimation and Testing in Models Containing Both Jumps and
Conditional Heteroskedasticity
PUBLICATION DATE: 1994

18/6/34 (Item 2 from file: 139)

626352

TITLE: Asymmetric Volatility Spillovers in the Korean Foreign Exchange Market

PUBLICATION DATE: 2001

18/6/35 (Item 3 from file: 139)

423241

TITLE: A Multivariate GARCH Model of Risk Premia in Foreign Exchange Markets

PUBLICATION DATE: 1997

18/6/36 (Item 1 from file: 583)

06637669

AERO-CASH US: AMEX LAUNCHES CURRENCY EXCHANGE TERMINALS

25 May 1998

18/6/37 (Item 2 from file: 583)

06175521

Pravitelstvo spekuliruet RUSSIA: VALENTIN PAVLOV ACCUSES GOVERNMENT

5 Jul 1995

18/6/38 (Item 3 from file: 583)

06012169

ING BANK/ NETHERLANDS: ING LAUNCHES INVESTMENT FUNDS

02 Jul 1994

18/6/39 (Item 4 from file: 583)

05900601

Aksjegevinster styrker dyno

NORWAY: DYNO'S RESULT

29 Oct 1993

18/6/40 (Item 5 from file: 583)

01888190

REVIEW OF TRENDS ON THE PACKAGING MARKET

NETHERLANDS - REVIEW OF TRENDS ON THE PACKAGING MARKET

3 May 1988

18/6/41 (Item 6 from file: 583)

01652187

GOVT TO PAY 50 CENTS ON DOLLAR IN BOND EXCHANGE OFFER

MEXICO - GOVT TO PAY 50 CENTS ON DOLLAR IN BOND EXCHANGE OFFER

26 January 1988

18/6/42 (Item 1 from file: 474)
06755953 NYT Sequence Number: 090093941229
MARKETS ARE CALMER IN MEXICO
Thursday December 29 1994

18/6/43 (Item 2 from file: 474)
06251460 NYT Sequence Number: 000000920109
OTC STOCKS SOAR TO HIT 2 RECORDS
Thursday January 9 1992

18/6/44 (Item 3 from file: 474)
06016862 NYT Sequence Number: 926221910930
WEAK DOLLAR MAGNIFIES GAINS OF FOREIGN STOCK EXCHANGES
Monday September 30 1991

18/6/45 (Item 4 from file: 474)
05792564 NYT Sequence Number: 154735900619
STOCKS DROP IN TOKYO
Tuesday June 19 1990

18/6/46 (Item 5 from file: 474)
05312534 NYT Sequence Number: 256682880901
TOKYO STOCK PRICES PLUNGE WITH THE DOLLAR UP SHARPLY
Thursday September 1 1988

18/6/47 (Item 6 from file: 474)
05290037 NYT Sequence Number: 023709880606
TOKYO STOCKS FINISH HIGH
Monday June 6 1988

18/6/48 (Item 7 from file: 474)
00912347 NYT Sequence Number: 030183790726
(Securities markets respond positively to announcement of NY Federal Reserve Bank pres Paul A Volcker's nomination as next Federal Reserve Bank chmn. Stock prices surge to best gains in nearly three weeks. Dow Jones industrial average jumps 9.73 points to 839.51 and advancing issues outnumber declines by more than 5-to-2 ration on NY Stock Exchange. Dollar strengthens overseas and gold bullion prices ease from record levels set earlier in week. Volcker nomination is

applauded by both Wall St and business communities. Graph showing market profile, July 25 (M).
Thursday July 26 1979

18/6/49 (Item 8 from file: 474)
00851545 NYT Sequence Number: 037297781201
(Dow Industrial average closes up 8.92 points at 799.03 on trading volume of 19.90 million shares. Gain was triggered by report of decline in Nov farm prices and strength in US dollar in foreign exchange dealings. Stock trading detailed. Market profile (M).)
Friday December 1 1978

18/6/50 (Item 9 from file: 474)
00832474 NYT Sequence Number: 018226780819
(Federal Reserve Board raises discount rate from seven-and-one-quarter percent to seven-and-three-quarters percent in order to increase value of dollar overseas and help control rising prices. Dollar moves up in value on foreign exchange markets and stock market rallies after announcement. Review of recent monetary developments (L).)
Saturday August 19 1978

18/6/51 (Item 1 from file: 475)
05013839
STRONGER DOLLAR AND WALL STREET RALLY BOLSTER STOCKS IN TOKYO EARLY FRIDAY
Friday October 30 1987

18/6/52 (Item 2 from file: 475)
04771636
BOND PRICES FALL AS SHARP DOLLAR DECLINE LEADS DEALERS TO BE INCRESINGLY WORRIED
Friday September 19 1986

18/6/53 (Item 1 from file: 35)
848262 ORDER NO: AAD84-15097
SOME EFFECTS OF EXCHANGE RATE FLEXIBILITY ON U.S. AGRICULTURE (UNITED STATES)
Year: 1983

18/6/54 (Item 1 from file: 2)
07276646
Title: Component analysis in financial time series

Book Title: Proceedings of the IEEE/IAFE 1999 Conference on Computational
Intelligence for Financial Engineering (CIFEr) (IEEE Cat. No.99TH8408)
Publication Date: 1999
INSPEC Update Issue: 1999-024
Copyright: 1999, IEE

18/6/55 (Item 2 from file: 2)
06174163
Title: Analyses on characteristics of deterministic nonlinear dynamics in
economic time series data
Publication Date: Dec. 1995
INSPEC Update Issue: 1996-004
Copyright: 1996, IEE

18/3,K/1 (Item 1 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

03167984 NYT Sequence Number: 747297961011 (USE FORMAT 7 FOR FULLTEXT)
CURRENCY MARKETS; Dollar Slips Against the Yen, Hurt by the Slump in Bonds
Bloomberg Business News
New York Times, Late Edition - Final ED, COL 1, P 11
Friday October 11 1996
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
Word Count: 346
TEXT:

..."The bond market selloff signaled foreign exchange traders to sell dollars," said Charles Spence, director of foreign exchange at the Standard Chartered Bank.

18/3,K/7 (Item 7 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

02282087 NYT Sequence Number: 926221910930 (USE FORMAT 7 FOR FULLTEXT)
Weak Dollar Magnifies Gains of Foreign Stock Exchanges
TIM GOLDEN
New York Times, Late Edition - Final ED, COL 3, P 4
Monday September 30 1991
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
SECTION HEADING: SECTD
Word Count: 590

18/3,K/10 (Item 10 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

01867241 NYT Sequence Number: 121841890813 (USE FORMAT 7 FOR FULLTEXT)
BUSINESS FORUM: AFTER THE FUTURES SCANDAL; Chicago Must Modernize or Perish
DANIEL R. SIEGEL; Daniel R. Siegel is an associate professor of Finance at the J.L. Kellogg Graduate School of Management at Northwestern University. He is the director of the Annenberg Washington Program on the futures markets.
New York Times, Late Edition - Final ED, COL 1, P 3
Sunday August 13 1989
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
SECTION HEADING: SECT3
Word Count: 1030
... same year, the Yen Long Term Government Bond contract - bought and sold on the Tokyo Stock Exchange - traded the dollar equivalent of about 150 million T-bond contracts. This shows that electronic trading can handle...

18/3,K/17 (Item 17 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

01622776 NYT Sequence Number: 027688880315 (USE FORMAT 7 FOR FULLTEXT)
CREDIT MARKETS; Bonds Mark Time on a Quiet Day
KENNETH N. GILPIN
New York Times, Late City Final Edition ED, COL 1, P 17
Tuesday March 15 1988
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
SECTION HEADING: SECTD
Word Count: 621

... turns in the trade figures, as well as the way the monthly figures affect the dollar on foreign exchange markets, bond traders are putting more emphasis on the January report than on any of the other economic...

18/3,K/18 (Item 18 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

01614182 NYT Sequence Number: 201669880216 (USE FORMAT 7 FOR FULLTEXT)
Nation's Biggest Banks Had Worst Year in 1987 Since the Depression
ROBERT A. BENNETT
New York Times, Late City Final Edition ED, COL 1, P 5
Tuesday February 16 1988
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
SECTION HEADING: SECTD
Word Count: 1978

... The Bankers Trust New York Corporation was buoyed by hundreds of millions of dollars in foreign-exchange and bond-trading earnings last year. But it showed virtually no net earnings for the year, compared with...

18/3,K/22 (Item 22 from file: 471)
DIALOG(R)File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

01313600 NYT Sequence Number: 005019860102 (USE FORMAT 7 FOR FULLTEXT)
GAINS IN O-T-C MARKET OUTRAN THE EXCHANGES
PHILLIP H. WIGGINS
New York Times, Late City Final Edition ED, COL 5, P 10
Thursday January 2 1986
DOCUMENT TYPE: Newspaper LANGUAGE: English RECORD TYPE: Fulltext
SECTION HEADING: SECTD
Word Count: 684

... the year, Nasdaq volume was about 75 percent of the volume on the

New York Stock Exchange.

Dollar volume in Nasdaq issues exceeded \$233 billion, compared with \$188 billion in 1983. "This reinforced Nasdaq's position...

18/3,K/32 (Item 32 from file: 471)
DIALOG(R) File 471:New York Times Fulltext
(c) 2009 The New York Times. All rts. reserv.

00012262 NYT Sequence Number: 030791800730 (USE FORMAT 7 FOR FULLTEXT)
By Jerry Rubin; GUESS WHO'S COMING TO WALL STREET
New York Times, Late City Final Edition ED, COL 1, P 21
Wednesday July 30 1980
DOCUMENT TYPE: Newspaper; op-ed LANGUAGE: English RECORD TYPE:
Fulltext
Word Count: 947
TEXT:

...13 years ago and threw dollar bills from the visitors' gallery. The floor brokers stopped trading and rushed after the falling dollars. The Stock Exchange soon installed bulletproof glass between the gallery and the brokers on the floor, making them...

18/3,K/36 (Item 1 from file: 583)
DIALOG(R) File 583:Gale Group Globalbase(TM)
(c) 2002 Gale/Cengage. All rts. reserv.

06637669
AERO-CASH
US: AMEX LAUNCHES CURRENCY EXCHANGE TERMINALS
Aviation Week & Space Technology (AVW) 25 May 1998 p.13
Language: ENGLISH

18/3,K/43 (Item 2 from file: 474)
DIALOG(R) File 474:New York Times Abs
(c) 2009 The New York Times. All rts. reserv.

06251460 NYT Sequence Number: 000000920109
OTC STOCKS SOAR TO HIT 2 RECORDS
New York Times, Col. 6, Pg. 1, Sec. C
Thursday January 9 1992
ABSTRACT:

...to record 610.32 on heaviest over-the-counter volume ever; market activity on NY Stock Exchange, Treasuries and dollar trading also noted (S)

CORRECTION:

18/3,K/50 (Item 9 from file: 474)
DIALOG(R) File 474: New York Times Abs
(c) 2009 The New York Times. All rts. reserv.

00832474 NYT Sequence Number: 018226780819
(Federal Reserve Board raises discount rate from seven-and-one-quarter percent to seven-and-three-quarters percent in order to increase value of dollar overseas and help control rising prices. Dollar moves up in value on foreign exchange markets and stock market rallies after announcement. Review of recent monetary developments (L).)
DOAN, MICHAEL, Associated Press
New York Times, Pg. 229
Saturday August 19 1978
...quarters percent in order to increase value of dollar overseas and help control rising prices. Dollar moves up in value on foreign exchange markets and stock market rallies after announcement. Review of recent monetary developments (L).)

B. Full-text Databases

Full text NPL files - 1

NOTE: I had trouble cutting down the results to a reasonable number, so I sorted them by relevance and looked at only the top 40.

? show files;ds;cost;logoff hold

File 20:Dialog Global Reporter 1997-2009/Oct 29

(c) 2009 Dialog

| Set | Items | Description |
|-----|--------|---|
| S1 | 888310 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S2 | 888310 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S3 | 241350 | (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT??? OR FINANCIAL()PRODUCT? ? OR EQUITIES OR EQUITY())(MARKET OR MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANGE?) OR NYSE OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT |
| S4 | 873299 | BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR EXCHANGE??? OR ORDER OR ORDERS OR PURCHASE??? OR SALE OR SALES OR SELL??? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TRANSACTIONS |
| S5 | 503005 | DOLLAR OR DOLLARS OR (US OR UNITED()STATES OR AMERICAN OR - NATIVE OR ORIGINAL OR BASELINE OR BASE()LINE OR PRIMARY OR BEGIN? ? OR BEGINNING OR ISSUE OR ISSUED OR STARTING OR INITIAL-)(2N)(CURRENCY OR MONEY OR CASH OR MONIES OR (UNIT OR UNITS)(-1W)EXCHANGE) |
| S6 | 17263 | S2(7N)S3 |
| S7 | 16608 | S4(10N)S6 |
| S8 | 2830 | S5(S)S7 |
| S9 | 15141 | S2(5N)S3 |
| S10 | 14592 | S4(7N)S9 |
| S11 | 1643 | S5(10N)S10 |
| S12 | 12639 | S2(3N)S3 |
| S13 | 12197 | S4(5N)S12 |
| S14 | 1296 | S5(7N)S13 |
| S15 | 9442 | S2(2N)S3 |
| S16 | 9041 | S4(3N)S15 |
| S17 | 1089 | S5(5N)S16 |
| S18 | 834 | S17 NOT (CONFERENCE()CALL OR (FIRST OR 1ST OR SECOND OR 2ND OR THIRD OR 3RD OR FOURTH OR 4TH OR FINAL OR PRELIMINARY OR - INTERIM)()QUARTER OR RESULTS) OR QUARTERLY OR ANNUAL()REPORT |

OR (8 OR 10)()(K OR Q) OR 8K OR 8Q OR 10K OR 10Q OR WEBCAST OR
WEBINAR)

S19 182 S18 NOT (PY> 2001 OR PD= 20010808:20011231)
S20 170 RD (unique items)
S21 170 Sort S20/ALL/HITS

21/6/1

04829518 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The economic crisis revisited

April 01, 1999

WORD COUNT: 1847

21/6/2

17497258 (USE FORMAT 7 OR 9 FOR FULLTEXT)

BSE Committee unveils priorities for 2001

February 15, 2001

WORD COUNT: 619

21/6/3

16574061 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Modest rebound noted in business confidence

May 09, 2001

WORD COUNT: 1287

21/6/4

13464626 (USE FORMAT 7 OR 9 FOR FULLTEXT)

News Items From Asia-Pacific Desk of Xinhua (Part 2)

October 24, 2000

WORD COUNT: 325

21/6/5

11939647 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The market remains unimpressed by 'victory'

SECTION TITLE: Opinion

July 14, 2000

WORD COUNT: 944

21/6/6

07760671 (USE FORMAT 7 OR 9 FOR FULLTEXT)

HONG KONG (Oct. 14) XINHUA - Following are news items from the Asia-Pacific

Desk of Xinhua in Hong Kong Thursday:

October 14, 1999

WORD COUNT: 403

21/6/7

07442719 (USE FORMAT 7 OR 9 FOR FULLTEXT)

The persistent fools SECTION TITLE: Financial News

September 16, 1999

WORD COUNT: 726

21/6/8

16264840 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Improved sentiment sends stocks surging

April 20, 2001

WORD COUNT: 401

21/6/9

14817454 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Palestinian ministry reports losses of billions to economy since intifadah

January 25, 2001

WORD COUNT: 1957

21/6/10

13289595 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Tel Aviv Stock Exchange Plummets, Dollar Exchange Rate Soars

October 12, 2000

WORD COUNT: 298

21/6/11

08420093 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Singapore further liberalizes rules on Singapore dollar

November 26, 1999

WORD COUNT: 507

21/6/12

01801923 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Featured Articles from Standard & Poor's Personal Wealth

June 01, 1998

WORD COUNT: 446

21/6/13

01801900 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Featured Articles From S&P Personal Wealth

June 01, 1998
WORD COUNT: 399

21/6/14
01554422 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Drugs `kill cancer tumours'
May 05, 1998
WORD COUNT: 612

21/6/15
18104134 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Philippines economic and corporate news summary
July 31, 2001
WORD COUNT: 110

21/6/16
18104132 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Philippine Stock Exchange plans dollar-denominated trading and settlement
July 31, 2001
WORD COUNT: 139

21/6/17
18103866 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Asia economic and corporate news summary
July 31, 2001
WORD COUNT: 896

21/6/18
17381500 (USE FORMAT 7 OR 9 FOR FULLTEXT)
News Items from Asia-Pacific Desk of Xinhua (Part 1)
June 22, 2001
WORD COUNT: 316

21/6/19
17129334 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Irish stock market was Europe's best performer for May SECTION TITLE: Business
June 08, 2001
WORD COUNT: 217

21/6/20
17077465 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Business confidence continues to improve

June 06, 2001

WORD COUNT: 1162

21/6/21

16412873 (USE FORMAT 7 OR 9 FOR FULLTEXT)

De Beers Con/Cntrny - De Beers Offer Update

April 30, 2001

WORD COUNT: 2867

21/6/22

15152024

AXA subordinated bond issue (AXA va emettre des obligations datees
subordonnees pour un montant de 770 millions de dollars) (translated
English abstract)

February 14, 2001

WORD COUNT: 144

21/6/23

14909725 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Philippines: Arroyo Vows To 'Crush' Those Seeking To Destabilize Her Government

January 30, 2001

WORD COUNT: 1036

21/6/24

14890100 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Quezon City TV Program Summary 291000

January 29, 2001

WORD COUNT: 319

21/6/25

14878244 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Philippines: President vows to "crush" those seeking to destabilize government

January 30, 2001

WORD COUNT: 1157

21/6/26

14731657 (USE FORMAT 7 OR 9 FOR FULLTEXT)

ROK's Yonhap: As the Yen Shrinks, Problems Grow on Local Stock Markets

January 18, 2001

WORD COUNT: 332

21/6/27
14699629 (USE FORMAT 7 OR 9 FOR FULLTEXT)
WEAK YEN TO AFFECT KOREAN STOCK MARKETS: ANALYST
January 18, 2001
WORD COUNT: 316

21/6/28
14443883 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Iran: Paper views economic impact of changing dollar rate
November 28, 2000
WORD COUNT: 1246

21/6/29
14375948 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Iran: Paper views economic impact of changing dollar rate
December 26, 2000
WORD COUNT: 1265

21/6/30
13921655 (USE FORMAT 7 OR 9 FOR FULLTEXT)
BIBF Lending Activity Falls Due to High Liquidity
November 23, 2000
WORD COUNT: 249

21/6/31
13918803 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Malaysia launches internet-based Labuan financial exchange
November 23, 2000
WORD COUNT: 170

21/6/32
13848043 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Forint further weakens on interbank forex market
November 17, 2000
WORD COUNT: 207

21/6/33
13712225 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Estrada on the attack, blames business and other groups for crisis
November 10, 2000
WORD COUNT: 1574

21/6/34
13592427
Bolsa Building Not Up For Sale
November 02, 2000
WORD COUNT: 130

21/6/35
13426559 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Kazakh oil transportation company sells bonds on stock exchange
October 23, 2000
WORD COUNT: 232

21/6/36
12567842 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shafted SECTION TITLE: Opinion
August 26, 2000
WORD COUNT: 731

21/6/37
11299882 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Forint stronger on interbank market
May 30, 2000
WORD COUNT: 184

21/6/38
10682076 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Crisis or not, cut the interest rate SECTION TITLE: Op-Ed
April 21, 2000
WORD COUNT: 566

21/6/39
10475517 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Forint weakens further on interbank market
April 07, 2000
WORD COUNT: 121

21/6/40
10392347 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Dollar trading stays calm SECTION TITLE: Financial News
April 04, 2000
WORD COUNT: 182

21/3,K/12
DIALOG(R)File 20:Dialog Global Reporter
(c) 2009 Dialog. All rts. reserv.

01801923 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Featured Articles from Standard & Poor's Personal Wealth
PR NEWSWIRE
June 01, 1998 14:27
JOURNAL CODE: WPRW LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 446
... Industries (NYSE: HPH), Mid-America Realty (NYSE: MDI), American
Retirement (NYSE: ACR), and Ashworth Inc. (Nasdaq: ASHW).
"Currency Exchange 101: The Dollar and Exchange Rates"
<http://www.personalwealth.com/prn04>

21/3,K/16
DIALOG(R)File 20:Dialog Global Reporter
(c) 2009 Dialog. All rts. reserv.

18104132 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Philippine Stock Exchange plans dollar-denominated trading and settlement
AFX (AP)
July 31, 2001
JOURNAL CODE: WAXA LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 139

21/3,K/26
DIALOG(R)File 20:Dialog Global Reporter
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14731657 (USE FORMAT 7 OR 9 FOR FULLTEXT)
ROK's Yonhap: As the Yen Shrinks, Problems Grow on Local Stock Markets
WORLD NEWS CONNECTION
January 18, 2001
JOURNAL CODE: WWNC LANGUAGE: English RECORD TYPE: FULLTEXT
WORD COUNT: 332
...of the Japanese yen against the U.S. dollar is starting to threaten
the Korean stock markets.
The yen-dollar exchange rate Thursday marked 119 yen
per greenback which is considered a fast fall considering late...

21/3,K/30
DIALOG(R)File 20:Dialog Global Reporter
(c) 2009 Dialog. All rts. reserv.

13921655 (USE FORMAT 7 OR 9 FOR FULLTEXT)

BIBF Lending Activity Falls Due to High Liquidity

BUSINESS DAY (THAILAND)

November 23, 2000

JOURNAL CODE: FBDY LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 249

... governor, blamed the fall in the BIBF lending activity to high liquidity in the Thai financial market and currency exchange movements hurting dollar borrowing.

21/3,K/31

DIALOG(R)File 20:Dialog Global Reporter

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13918803 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Malaysia launches internet-based Labuan financial exchange

AFX (AP)

November 23, 2000

JOURNAL CODE: WAXA LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 170

LFX, wholly-owned by the Kuala Lumpur Stock Exchange, will trade dollar-denominated financial instruments and securities.

KLSE executive chairman Mohamad Azlan Hashim said the new exchange...

21/3,K/32

DIALOG(R)File 20:Dialog Global Reporter

(c) 2009 Dialog. All rts. reserv.

13848043 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Forint further weakens on interbank forex market

HUNGARIAN NEWS AGENCY (MTI)

November 17, 2000

JOURNAL CODE: WHNA LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 207

... similar rate on Thursday, when it's fall was related, for the most part, to selling on the stock exchange. The dollar closed at 308.80/95 and the euro at 263.96/264.06 on Friday...

Full text NPL files - 1a (same database, different strategy)

? show files;ds;cost;logoff hold

File 20:Dialog Global Reporter 1997-2009/Oct 29

(c) 2009 Dialog

| Set | Items | Description |
|-----|--------|--|
| S1 | 888329 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S2 | 240 | ((TRADE OR TRADING)(3N)(CURRENCY OR CURRENCIES))(4W)(STOCK OR STOCKS) |
| S3 | 239 | S2 NOT (CONFERENCE())CALL OR (FIRST OR 1ST OR SECOND OR 2ND OR THIRD OR 3RD OR FOURTH OR 4TH OR FINAL OR PRELIMINARY OR INTERIM())(QUARTER OR RESULTS) OR QUARTERLY OR ANNUAL()REPORT - OR (8 OR 10())(K OR Q) OR 8K OR 8Q OR 10K OR 10Q OR WEBCAST OR WEBINAR)/TI |
| S4 | 145 | ((TRADE OR TRADING)(2N)(CURRENCY OR CURRENCIES))(3W)(STOCK OR STOCKS) |
| S5 | 30 | S4 NOT (PY> 2001 OR PD= 20010808:20011231) |
| S6 | 27 | RD (unique items) |

6/6/1

15335927 (USE FORMAT 7 OR 9 FOR FULLTEXT)

IN BRIEF: Suit Over Forex License

February 26, 2001

WORD COUNT: 94

6/6/2

14680694 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Seoul taking steps to boost foreign exchange market

January 17, 2001

WORD COUNT: 178

6/6/3

14491261 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Philippine Stock Market, Currency Fall after Weekend Bombings

January 02, 2001

WORD COUNT: 214

6/6/4

14474529 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Business Day: Bombings Hit Manila Share Prices And Peso

January 02, 2001
WORD COUNT: 211

6/6/5
11936244 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Small Traders Are Focus Of New Online Forex Firm
July 14, 2000
WORD COUNT: 483

6/6/6
11132308 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Stronger rupiah may save stock prices from spill
May 22, 2000
WORD COUNT: 762

6/6/7
10988373 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shura pushes for a freer economy
April 26, 2000
WORD COUNT: 583

6/6/8
10886048 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Forex roundup
May 05, 2000
WORD COUNT: 626

6/6/9
10743304 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Shura pushes for a freer economy
April 26, 2000
WORD COUNT: 613

6/6/10
09053784 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Analyst predicts RI rubber will bounce back
January 11, 2000
WORD COUNT: 695

6/6/11
07715799 (USE FORMAT 7 OR 9 FOR FULLTEXT)

HK seen as hub for yuan trade
October 13, 1999
WORD COUNT: 303

6/6/12
05916770 (USE FORMAT 7 OR 9 FOR FULLTEXT)
U.S. Dollar Fell to New Low on Shanghai's Currency Market
June 25, 1999
WORD COUNT: 191

6/6/13
05694426 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Exchange plans trade link-up
June 11, 1999
WORD COUNT: 567

6/6/14
05211821
CURRENCY EXCHANGE ELECTRONIC TRADING
May 06, 1999
WORD COUNT: 123

6/6/15
05188454
NBU PROHIBITS UICEX START CURRENCY TRADING IN ELECTRONIC SYSTEM PRIOR
April 19, 1999
WORD COUNT: 104

6/6/16
04861667 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Officials Lash Out As Ruble Passes 25
April 06, 1999
WORD COUNT: 1087

6/6/17
04517474 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Debt-ridden firms face limit in forex borrowing
February 18, 1999
WORD COUNT: 363

6/6/18

04127021 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Exit tax may replace holding period (HL)

January 26, 1999

WORD COUNT: 606

6/6/19

04125705 (USE FORMAT 7 OR 9 FOR FULLTEXT)

MALAYSIA TO MAKE ADJUSTMENTS TO ATTRACT FOREIGN INVESTORS

January 25, 1999

WORD COUNT: 814

6/6/20

04125270 (USE FORMAT 7 OR 9 FOR FULLTEXT)

A capital exit tax being studied: PM (HL)

January 26, 1999

WORD COUNT: 686

6/6/21

03816487 (USE FORMAT 7 OR 9 FOR FULLTEXT)

UK set for euro bonanza: London to dominate trading in new currency from 4 January

SECTION TITLE: Business

December 20, 1998

WORD COUNT: 521

6/6/22

03360238 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Russian Stocks Slip in Profit Taking

October 22, 1998

WORD COUNT: 350

6/6/23

03330395 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Capital controls working, says Mahathir

November 01, 1998

WORD COUNT: 811

6/6/24

03209218 (USE FORMAT 7 OR 9 FOR FULLTEXT)

RUSSIA-STOCK MARKET-TRADING-RESULTS

October 22, 1998

WORD COUNT: 361

6/6/25
03203324 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Russian stock market down on 22nd October
October 23, 1998
WORD COUNT: 125

6/6/26
02861835 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Frankfurter Wertpapierboerse to replace forex fixing
September 18, 1998
WORD COUNT: 81

6/6/27
01437344 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Russian Central Bank Official Rings Opening Bell for Ruble Futures, Options
on the Chicago Mercantile Exchange
April 21, 1998
WORD COUNT: 1200

6/3,K/8
DIALOG(R)File 20:Dialog Global Reporter
(c) 2009 Dialog. All rts. reserv.

10886048 (USE FORMAT 7 OR 9 FOR FULLTEXT)

Forex roundup

AFX (AP)

May 05, 2000

JOURNAL CODE: WAXA LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 626

The dollar remained firm against major currencies in late trade as U.S. stock rallied after employment data for April did little to change the current outlook for U...

6/3,K/14
DIALOG(R)File 20:Dialog Global Reporter
(c) 2009 Dialog. All rts. reserv.

05211821

CURRENCY EXCHANGE ELECTRONIC TRADING

EASTERN ECONOMIST DAILY

May 06, 1999

JOURNAL CODE: WEET LANGUAGE: English RECORD TYPE: FULLTEXT

WORD COUNT: 123

KYIV .Currency trading using the new stock exchange electronic system BEST started May 5. Trading is being handled by the Ukrainian Interbank...

Full text NPL files - 2

? show files;ds;cost;logoff hold
 File 634:San Jose Mercury Jun 1985-2009/Oct 28
 (c) 2009 San Jose Mercury News
 File 610:Business Wire 1999-2009/Oct 29
 (c) 2009 Business Wire.
 File 613:PR Newswire 1999-2009/Oct 29
 (c) 2009 PR Newswire Association Inc
 File 810:Business Wire 1986-1999/Feb 28
 (c) 1999 Business Wire
 File 813:PR Newswire 1987-1999/Apr 30
 (c) 1999 PR Newswire Association Inc
 File 997:Newsroom 2000-2003
 (c) 2009 Dialog
 File 626:Bond Buyer Full Text 1981-2008/Jul 07
 (c) 2008 Bond Buyer
 File 268:Banking Info Source 1981-2009/Oct W3
 (c) 2009 ProQuest Info&Learning

| Set | Items | Description |
|-----|--------|---|
| S1 | 567666 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S2 | 567666 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S3 | 231456 | (STOCK OR STOCKS OR BOND OR BONDS OR FINANCIAL OR SECURIT??? OR FINANCIAL()PRODUCT? ? OR EQUITIES OR EQUITY)()(MARKET OR MARKETS OR TRADING OR TRADER OR TRADERS OR EXCHANGE?) OR NYSE OR AMEX OR NASDAQ OR BOURSE OR BOLSA()MEXICANA OR EURONEXT |
| S4 | 536725 | BUY??? OR DEAL OR DEALS OR DEALING OR DEALINGS OR EXCHANGE??? OR ORDER OR ORDERS OR PURCHAS??? OR SALE OR SALES OR SELL??? OR TRADE OR TRADES OR TRADING OR TRANSACTION OR TRANSACTIONS |
| S5 | 288988 | DOLLAR OR DOLLARS OR (US OR UNITED()STATES OR AMERICAN OR - NATIVE OR ORIGINAL OR BASELINE OR BASE()LINE OR PRIMARY OR BEGIN? ? OR BEGINNING OR ISSUE OR ISSUED OR STARTING OR INITIAL-)(2N)(CURRENCY OR MONEY OR CASH OR MONIES OR (UNIT OR UNITS)(-1W)EXCHANGE) |
| S6 | 15301 | S2(7N)S3 |
| S7 | 14492 | S4(10N)S6 |
| S8 | 2848 | S5(S)S7 |
| S9 | 8300 | S2(2N)S3 |
| S10 | 7813 | S4(3N)S9 |
| S11 | 1586 | S5(5N)S10 |
| S12 | 39 | TRADING(2N)(CURRENCY OR CURRENCIES)(2W)(STOCK OR STOCKS) |

S13 107 (TRADING(3N)(CURRENCY OR CURRENCIES))(4W)(STOCK OR STOCKS)
S14 0 S8(S)S13
S15 111 S12 OR S13
S16 27 S15 NOT (PY> 2001 OR PD= 20010808:20011231)
S17 23 RD (unique items)

17/6/1 (Item 1 from file: 610)
00405843 20001109314B3420 (USE FORMAT 7 FOR FULLTEXT)
NEC Technologies Enters Into a Confidentiality Agreement With delSECUR
Thursday, November 9, 2000 13:44 EST
WORD COUNT: 6,016

17/6/2 (Item 2 from file: 610)
00324504 20000719201B6334 (USE FORMAT 7 FOR FULLTEXT)
Timeline Completes Purchase of Analyst Financials, its European Distributor
Wednesday, July 19, 2000 15:33 EDT
WORD COUNT: 635

17/6/3 (Item 3 from file: 610)
00291258 20000531152B2255 (USE FORMAT 7 FOR FULLTEXT)
Timeline to Acquire European Distributor Analyst Financials
Wednesday, May 31, 2000 17:30 EDT
WORD COUNT: 625

17/6/4 (Item 1 from file: 810)
0958449 BW1468
VAN DER MOOLEN: Van der Moolen to Acquire 80% Interest in US Options Trader
Tague Securities Corporation
January 05, 1999

17/6/5 (Item 2 from file: 810)
0125666 BW792
REPUBLIC NEW YORK: Republic New York announces first quarter results
April 19, 1989

17/6/6 (Item 1 from file: 813)
1261970 CGTU038
Russian Central Bank Official Rings Opening Bell for Ruble Futures, Options
on the Chicago Mercantile Exchange
DATE: April 21, 1998
WORD COUNT: 1,096

17/6/7 (Item 2 from file: 813)
1176067 LATU095
Candle's MQSeries Monitoring Solution Provides Chicago Mercantile Exchange
With Management Powers Essential to Trillion-Dollar Exchange
DATE: October 28, 1997
WORD COUNT: 689

17/6/8 (Item 1 from file: 997)
0291023688 15J60R47
DIRECTORY OF PRODUCTS & SERVICES.(trading-related business
directory)(Directory)
Futures (Cedar Falls, Iowa)
Sunday, July 15, 2001
WORD COUNT: 6,326

17/6/9 (Item 2 from file: 997)
0269029378 15GU0WQ1
Queues form as last B-share curbs end
South China Morning Post
Saturday, June 2, 2001
WORD COUNT: 621

17/6/10 (Item 3 from file: 997)
0219038040 15CQ154R
IN BRIEF: Suit Over Forex License
Moscow Times
Monday, February 26, 2001
WORD COUNT: 97

17/6/11 (Item 4 from file: 997)
0217507321 15CM074S
RUSSIAN INTERNET BANKING MARKET ON THE RISE
RUSSIAN FINANCE REPORT
Friday, February 23, 2001
WORD COUNT: 365

17/6/12 (Item 5 from file: 997)
0172031736 158S0YZR
AFTER A TWO-YEAR BREAK, MOSCOW INTERBANK CURRENCY STOCK
EXCHANGE RESUMED TRADING IN FUTURE CONTRACTS FOR US \$.
A&G Information Services
Tuesday, November 28, 2000
WORD COUNT: 102

17/6/13 (Item 6 from file: 997)
0172007306 158S0749
Common electronic exchange in Asia considered a possibility
BUSINESSWORLD (PHILIPPINES)
Tuesday, November 28, 2000
WORD COUNT: 476

17/6/14 (Item 7 from file: 997)
0165528505 158C0VUS
Globalnet Financial 3Q and 9 Mths Rslts-Replacement
Regulatory News Service (RNS)
Wednesday, November 15, 2000
WORD COUNT: 1,740

17/6/15 (Item 8 from file: 997)
0165528128 158C0VGZ
Globalnet Financial 3rd Quarter and 9 Mths Results
Regulatory News Service (RNS)
Wednesday, November 15, 2000
WORD COUNT: 1,697

17/6/16 (Item 9 from file: 997)
0162513882 15850FKT
BRIDGE: GLOBAL STOCK MARKET LEVELS AT 13:40 ET -Nov 9
FWN SELECT
Thursday, November 9, 2000
WORD COUNT: 11,357

17/6/17 (Item 10 from file: 997)
0137515432 156M0H27
Do Fight the Tape]
Journal of Investing
Friday, September 22, 2000
WORD COUNT: 4,284

17/6/18 (Item 11 from file: 997)
0111003468 154Y03EC
Japan stocks close higher, dollar up slightly against yen
AP ONLINE
Tuesday, August 1, 2000
WORD COUNT: 611

17/6/19 (Item 12 from file: 997)
0074030171 152N0XGU
Stronger rupiah may save stock prices from spill
Jakarta Post (Indonesia)
Monday, May 22, 2000
WORD COUNT: 795

17/6/20 (Item 13 from file: 997)
0074011243 152N0AZA
Stronger rupiah may save stock prices from spill, JAKARTA POST
AP NEWS
Monday, May 22, 2000
WORD COUNT: 873

17/6/21 (Item 14 from file: 997)
0006022611 14YE0Q2L
Analyst predicts RI rubber will bounce back
Jakarta Post (Indonesia)
Tuesday, January 11, 2000
WORD COUNT: 714

17/6/22 (Item 15 from file: 997)
0006009806 14YE09LF
Analyst predicts RI rubber will bounce back, JAKARTA POST
AP NEWS
Tuesday, January 11, 2000
WORD COUNT: 789

17/6/23 (Item 1 from file: 268)
00211559
Foreign exchange markets: new instruments in foreign exchange
Nov 1983

17/3,K/4 (Item 1 from file: 810)
DIALOG(R) File 810: Business Wire
(c) 1999 Business Wire . All rts. reserv.

0958449 BW1468
VAN DER MOOLEN: Van der Moolen to Acquire 80% Interest in US Options Trader
Tague Securities Corporation
January 05, 1999
Byline: Business Editors
...proprietary trading firm. The company is one of the largest and most experienced
specialist firms trading equity and currency options on the
Philadelphia Stock Exchange ("PHLX"). This exchange has recently
announced the intention to merge with the American Stock...

17/3,K/6 (Item 1 from file: 813)
DIALOG(R) File 813: PR Newswire
(c) 1999 PR Newswire Association Inc. All rts. reserv.

1261970 CGTU038
Russian Central Bank Official Rings Opening Bell for Ruble Futures, Options
on the Chicago Mercantile Exchange
DATE: April 21, 1998 09:30 EDT WORD COUNT: 1,096
... ensuring the stability of the Russian ruble. MICEX is a leading Russian
exchange, which organizes trading in currency, stock and
derivatives, as well as providing clearing and depository services to
exchange market participants, both...

17/3,K/12 (Item 5 from file: 997)
DIALOG(R) File 997: Newsroom 2000-2003
(c) 2009 Dialog. All rts. reserv.

0172031736 158S0YZR
AFTER A TWO-YEAR BREAK, MOSCOW INTERBANK CURRENCY STOCK
EXCHANGE RESUMED TRADING IN FUTURE CONTRACTS FOR US \$.
A&G Information Services, p1008332i1549
Tuesday, November 28, 2000
JOURNAL CODE: DHBJ LANGUAGE: English RECORD TYPE: Fulltext
DOCUMENT TYPE: Newswire
WORD COUNT: 102

17/3,K/13 (Item 6 from file: 997)
DIALOG(R) File 997: Newsroom 2000-2003
(c) 2009 Dialog. All rts. reserv.

0172007306 158S0749

Common electronic exchange in Asia considered a possibility

Eleanore C. Sanchez

BUSINESSWORLD (PHILIPPINES)

Tuesday, November 28, 2000

JOURNAL CODE: AMDR LANGUAGE: ENGLISH RECORD TYPE: Fulltext

DOCUMENT TYPE: Trade Journal

WORD COUNT: 476

...countries could also follow in the footsteps of the European Union and adopt a uniform currency to expedite stock trading in a common electronic exchange, Ms. Cerdania noted. Copyright 2000 BusinessWorld (Philippines). Source: World Reporter...

17/3,K/23 (Item 1 from file: 268)

DIALOG(R) File 268:Banking Info Source

(c) 2009 ProQuest Info&Learning. All rts. reserv.

00211559

Foreign exchange markets: new instruments in foreign exchange

Gerden, Paula

World of Banking, v2, n6, p4-8, Nov 1983 LANGUAGE: English

RECORD TYPE: Abstract

...ABSTRACT: of the Chicago Mercantile Exchange, of negotiable instruments in the form of futures contracts in currencies; and 4) options trading on "spot foreign currency values" by the Philadelphia Stock Exchange and approval for the IMM to trade options on foreign currency futures.

Full text NPL files - 3

? show files;ds;cost;logoff hold
File 249:Mgt. & Mktg. Abs. 1976-2007Apr W5
(c) 2007 Pira International
File 9:Business & Industry(R) Jul/1994-2009/Oct 28
(c) 2009 Gale/Cengage
File 13:BAMP 2009/Oct 28
(c) 2009 Gale/Cengage
File 15:ABI/Inform(R) 1971-2009/Oct 28
(c) 2009 ProQuest Info&Learning
File 16:Gale Group PROMT(R) 1990-2009/Oct 05
(c) 2009 Gale/Cengage
File 75:TGG Management Contents(R) 86-2009/Sep W4
(c) 2009 Gale/Cengage
File 148:Gale Group Trade & Industry DB 1976-2009/Oct 12
(c) 2009 Gale/Cengage
File 160:Gale Group PROMT(R) 1972-1989
(c) 1999 The Gale Group

| Set | Items | Description |
|-----|--------|---|
| S1 | 562749 | (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCHANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR CONVERSIONS) OR FX |
| S2 | 131 | ((TRADE OR TRADING)(3N)(CURRENCY OR CURRENCIES))(4W)(STOCK OR STOCKS) |
| S3 | 50 | S2 NOT (PY> 2001 OR PD= 20010808:20011231) |
| S4 | 44 | RD (unique items) |

4/6/1 (Item 1 from file: 9)
02203083 Supplier Number: 25770122 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Small Traders Are Focus Of New Online Forex Firm
July 14, 2000
WORD COUNT: 474

4/6/2 (Item 2 from file: 9)
01681571 Supplier Number: 24422219
Russia: The Moscow Inter-Bank Currency Exchange has indefinitely suspended trade in stocks and futures.
October 20, 1998

4/6/3 (Item 3 from file: 9)
00546448 Supplier Number: 23011926
INGRES DISTRIBUTOR TO SUPPLY CURRENCY TRADING SYSTEM TO

STOCK EXCHANGE
February 17, 1994
WORD COUNT: 163

4/6/4 (Item 1 from file: 15)
06193145 30258850 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
ADDITION TO: Japan, U.S. Take Action after Extra Budget Passage
Jun 17, 1998
WORD COUNT: 195

4/6/5 (Item 2 from file: 15)
02106005 60657482 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
China unveils new rules for online securities trading
Sep 2000
WORD COUNT: 3782

4/6/6 (Item 3 from file: 15)
02028866 54121774 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
Creation of iX bound to leave questions, says Jiway's Jones
May 19, 2000
WORD COUNT: 448

4/6/7 (Item 4 from file: 15)
01849330 05-00322 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
Feedback trading in exchange-rate markets: Evidence from within and across
economic blocks
Spring 1999 LENGTH: 14 Pages
WORD COUNT: 6983

4/6/8 (Item 5 from file: 15)
01750756 04-01747 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
The development of capital markets in Central Asia
Nov 1998 LENGTH: 39 Pages
WORD COUNT: 17184

4/6/9 (Item 6 from file: 15)
01169720 98-19115 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
Exchange controls being dismantled
Dec 1995 LENGTH: 1 Pages
WORD COUNT: 285

4/6/10 (Item 7 from file: 15)
01034601 96-83994 **USE FORMAT 7 OR 9 FOR FULL TEXT**
Using a pitchfork to test a bear's strength
May 12, 1995 LENGTH: 1 Pages
WORD COUNT: 467

4/6/11 (Item 8 from file: 15)
01031170 96-80563 **USE FORMAT 7 OR 9 FOR FULL TEXT**
Singapore
1995 LENGTH: 2 Pages
WORD COUNT: 820

4/6/12 (Item 9 from file: 15)
00964236 96-13629 **USE FORMAT 7 OR 9 FOR FULL TEXT**
The shock of the new
Dec 1994/Jan 1995 LENGTH: 9 Pages
WORD COUNT: 9058

4/6/13 (Item 10 from file: 15)
00917432 95-66824 **USE FORMAT 7 OR 9 FOR FULL TEXT**
Foreign currency and the proposed accruals tax system
Sep 1994 LENGTH: 10 Pages
WORD COUNT: 5038

4/6/14 (Item 11 from file: 15)
00874152 95-23544 **USE FORMAT 7 OR 9 FOR FULL TEXT**
Currency options
May 1994 LENGTH: 8 Pages
WORD COUNT: 3009

4/6/15 (Item 12 from file: 15)
00807193 94-56585 **USE FORMAT 7 OR 9 FOR FULL TEXT**
UK plans radical change to foreign exchange laws
Dec 1993/Jan 1994 LENGTH: 3 Pages
WORD COUNT: 1560

4/6/16 (Item 13 from file: 15)
00726380 93-75601 **USE FORMAT 7 OR 9 FOR FULL TEXT**
Currency Options: From Inception to Present
Spring 1992 LENGTH: 3 Pages
WORD COUNT: 2195

4/6/17 (Item 14 from file: 15)
00691009 93-40230 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
Exchange Artist
Mar 1993 LENGTH: 3 Pages
WORD COUNT: 1891

4/6/18 (Item 15 from file: 15)
00548208 91-22552 ** USE FORMAT 7 OR 9 FOR FULL TEXT**
Time to Take Stock: Where to Put Your Money
Apr 25, 1991 LENGTH: 7 Pages
WORD COUNT: 4729

4/6/19 (Item 16 from file: 15)
00208247 83-19808
Currency Options Cope with Uncertainty
May 1983 LENGTH: 2 Pages

4/6/20 (Item 1 from file: 16)
07586525 Supplier Number: 63563550 (USE FORMAT 7 FOR FULLTEXT)
Timeline Completes Purchase of Analyst Financials, its European Distributor.
July 19, 2000
Word Count: 642

4/6/21 (Item 2 from file: 16)
07566695 Supplier Number: 63399290 (USE FORMAT 7 FOR FULLTEXT)
Small Traders Are Focus Of New Online Forex Firm.(Brief Article)
July 14, 2000
Word Count: 505

4/6/22 (Item 3 from file: 16)
07412500 Supplier Number: 62417964 (USE FORMAT 7 FOR FULLTEXT)
Timeline to Acquire European Distributor Analyst Financials.
May 31, 2000
Word Count: 624

4/6/23 (Item 4 from file: 16)
06064329 Supplier Number: 53512204 (USE FORMAT 7 FOR FULLTEXT)
Van der Moolen to Acquire 80% Interest in US Options Trader Tague
Securities Corporation.
Jan 5, 1999
Word Count: 782

4/6/24 (Item 5 from file: 16)
05310125 Supplier Number: 48083085 (USE FORMAT 7 FOR FULLTEXT)
Candle's MQSeries Monitoring Solution Provides Chicago Mercantile Exchange
With Management Powers Essential to Trillion-Dollar Exchange
Oct 28, 1997
Word Count: 738

4/6/25 (Item 6 from file: 16)
02952964 Supplier Number: 43995854 (USE FORMAT 7 FOR FULLTEXT)
MOF Plans More Liberal Law For Investment Trust Companies
July 28, 1993
Word Count: 157

4/6/26 (Item 1 from file: 148)
13894110 SUPPLIER NUMBER: 77384937 (USE FORMAT 7 OR 9 FOR FULL TEXT)
DIRECTORY OF PRODUCTS & SERVICES.(trading-related business directory)(Directory)
July 15, 2001
WORD COUNT: 29171 LINE COUNT: 02906

4/6/27 (Item 2 from file: 148)
13701066 SUPPLIER NUMBER: 76515248 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Financial and Business Statistics.(Statistical Data Included)
April, 2000
WORD COUNT: 21499 LINE COUNT: 10106

4/6/28 (Item 3 from file: 148)
07621842 SUPPLIER NUMBER: 16735681 (USE FORMAT 7 OR 9 FOR FULL TEXT)
OTC exchange. (two stock exchanges introduce new over-the-counter currency options)
Nov, 1994
WORD COUNT: 344 LINE COUNT: 00028

4/6/29 (Item 4 from file: 148)
07568597 SUPPLIER NUMBER: 16308876 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Popper finds the promised land. (Mint Investment Management Co. Executive
Vice President Andrew Popper; New York, New York)
Oct, 1994
WORD COUNT: 702 LINE COUNT: 00058

4/6/30 (Item 5 from file: 148)
07064403 SUPPLIER NUMBER: 14810016
Calm currency trading bolsters stock market.
Feb 16, 1994

4/6/31 (Item 6 from file: 148)
05923378 SUPPLIER NUMBER: 12847025 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Currency options: from inception to present. (foreign currency exchange)
Spring, 1992
WORD COUNT: 2287 LINE COUNT: 00178

4/6/32 (Item 7 from file: 148)
05589071 SUPPLIER NUMBER: 11710389 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Trading stock around the clock: the future growth of global electronic markets.
Fall, 1991
WORD COUNT: 7804 LINE COUNT: 00638

4/6/33 (Item 8 from file: 148)
04808163 SUPPLIER NUMBER: 09500631 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Tokyo stocks open higher. (includes analysis of later trading)
Oct 15, 1990
WORD COUNT: 541 LINE COUNT: 00044

4/6/34 (Item 9 from file: 148)
04804289 SUPPLIER NUMBER: 09475753 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Tokyo stocks open higher on strong yen. (includes analysis of later trading)
Oct 5, 1990
WORD COUNT: 503 LINE COUNT: 00041

4/6/35 (Item 10 from file: 148)
04680475 SUPPLIER NUMBER: 09406295
Philadelphia mart extends currency trade. (Philadelphia
Stock Exchange extends its trading session for foreign currency options)
Sept 17, 1990

4/6/36 (Item 11 from file: 148)
04442072 SUPPLIER NUMBER: 10578863
Trading in foreign currency. (Tel Aviv Stock Exchange
seeks Bank of Israel approval) (Finance)
May 2, 1990

4/6/37 (Item 12 from file: 148)
04094127 SUPPLIER NUMBER: 07734092 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Japanese government bond prices open lower. (includes analysis of later trading)
Oct 5, 1989
WORD COUNT: 428 LINE COUNT: 00033

4/6/38 (Item 13 from file: 148)
03834239 SUPPLIER NUMBER: 07241063 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Decision on financial futures' tax put off.
Jan 10, 1989
WORD COUNT: 308 LINE COUNT: 00025

4/6/39 (Item 14 from file: 148)
02966272 SUPPLIER NUMBER: 04314100 (USE FORMAT 7 OR 9 FOR FULL TEXT)
The Forbes foreign rankings. (Special Report on International Business) (directory)
July 28, 1986
WORD COUNT: 3166 LINE COUNT: 00246

4/6/40 (Item 15 from file: 148)
02489130 SUPPLIER NUMBER: 03911200 (USE FORMAT 7 OR 9 FOR FULL TEXT)
S. Africa considers currency controls; investors may not be able to sell
for foreign exchange.
Aug 30, 1985
WORD COUNT: 548 LINE COUNT: 00041

4/6/41 (Item 16 from file: 148)
02408151 SUPPLIER NUMBER: 03910043
Currency, stock trading freeze seem to stem the rand's decline. (International News)
Aug 29, 1985

4/6/42 (Item 17 from file: 148)
02036383 SUPPLIER NUMBER: 03203234 (USE FORMAT 7 OR 9 FOR FULL TEXT)
Profiting from the dollar's dip. (investing in foreign currencies)
April, 1984
WORD COUNT: 2264 LINE COUNT: 00169

4/6/43 (Item 1 from file: 160)
01242399
International briefing: France eases options trading curbs.
August 14, 1985

4/6/44 (Item 2 from file: 160)
01206551
Reuters starts to let its customers talk back.
June 17, 1985

4/3,K/1 (Item 1 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2009 Gale/Cengage. All rts. reserv.

02203083 Supplier Number: 25770122 (USE FORMAT 7 OR 9 FOR FULLTEXT)
Small Traders Are Focus Of New Online Forex Firm
(Gain Capital, a start-up online foreign exchange system, had its first
\$100 million trading day)
American Banker, v 165, n 134, p 10
July 14, 2000
DOCUMENT TYPE: Newspaper ISSN: 0002-7561 (United States)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 474
TEXT:
...them trade 20 to 30 times a day. Many, he said, are day traders who
trade currencies after the stock market closes.

4/3,K/2 (Item 2 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
(c) 2009 Gale/Cengage. All rts. reserv.

01681571 Supplier Number: 24422219
Russia: The Moscow Inter-Bank Currency Exchange has indefinitely suspended
trade in stocks and futures.
(The Moscow Inter-Bank Currency Exchange suspends trade in
stocks and futures, in line with a Federal securities commission order)
Moskovskie Novosti, p N/A
October 20, 1998
DOCUMENT TYPE: Journal ISSN: 0256-551X (Russia)
LANGUAGE: Russian RECORD TYPE: Abstract

4/3,K/3 (Item 3 from file: 9)
DIALOG(R)File 9:Business & Industry(R)
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00546448 Supplier Number: 23011926
INGRES DISTRIBUTOR TO SUPPLY CURRENCY TRADING SYSTEM TO STOCK EXCHANGE
(Jet Infosystems (Russia), distributor of Ingres, will supply Russian Hard
Currency and Stock Exchange with Ingres-based currency trading system)
Computergram International, n 2356, p N/A
February 17, 1994
DOCUMENT TYPE: Newsletter ISSN: 0268-716X (United Kingdom)
LANGUAGE: English RECORD TYPE: Fulltext
WORD COUNT: 163

4/3,K/12 (Item 9 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00964236 96-13629

The shock of the new
Barron, Catherine; Higgins, Kieran
Global Investor n78 PP: 48-57 Dec 1994/Jan 1995
ISSN: 0951-3604 JRNL CODE: GLI
WORD COUNT: 9058

...TEXT: In a move to boost the trading of foreign-currency-denominated stocks, the stock exchange relaxed foreign broker trading rules in October. Overseas brokers are now allowed to trade...

4/3,K/14 (Item 11 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00874152 95-23544

Currency options
Anonymous
AsiaMoney Derivatives Guide Supplement PP: 14-21 May 1994
ISSN: 0958-9309 JRNL CODE: AMF
WORD COUNT: 3009
...TEXT: Currency options first started trading on the Philadelphia stock exchange in 1982. Later on the Chicago Mercantile Exchange and LIFFE also introduced currency options...

4/3,K/15 (Item 12 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00807193 94-56585

UK plans radical change to foreign exchange laws
Lubbock, Emma
International Tax Review v5n1 PP: 39-41 Dec 1993/Jan 1994
ISSN: 0958-7594 JRNL CODE: ITR
WORD COUNT: 1560

...TEXT: a qualifying debt and certain provisions for contingent liabilities. The definition excludes convertible securities (unless trading stock), and currency contracts which are separately defined.

4/3,K/16 (Item 13 from file: 15)
DIALOG(R)File 15:ABI/Inform(R)
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00726380 93-75601

Currency Options: From Inception to Present

Pasmantier, Anita B.

Review of Business v13n4 PP: 43-45 Spring 1992

ISSN: 0034-6454 JRNL CODE: ROB

WORD COUNT: 2195

...TEXT: trading options in French francs. More recently, on February 12, 1986, options in the European Currency Unit (ECU) began trading. Finally, the last currency introduced by the Philadelphia Stock Exchange was the Australian dollar which began trading on January 19, 1987. The Philadelphia Stock...

4/3,K/18 (Item 15 from file: 15)

DIALOG(R)File 15:ABI/Inform(R)

(c) 2009 ProQuest Info&Learning. All rts. reserv.

00548208 91-22552

Time to Take Stock: Where to Put Your Money

Holloway, Nigel; Rowley, Anthony; Taylor, Michael; Awanohara, Susumu;

Paisley, Ed; Clifford, Mark; Tsuruoka, Doug; Ali, Salamat; Goldstein, Carl

Far Eastern Economic Review v151n17 PP: 38-44 Apr 25, 1991

ISSN: 0014-7591 JRNL CODE: FER

WORD COUNT: 4729

...TEXT: are mammoth, particularly for short-term contracts of one to three months. The volume of currency options trading on the Philadelphia Stock Exchange (PSE) and Chicago's International Monetary Market (IMM), the two US markets for options...

4/3,K/28 (Item 3 from file: 148)

DIALOG(R)File 148:Gale Group Trade & Industry DB

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07621842 SUPPLIER NUMBER: 16735681 (USE FORMAT 7 OR 9 FOR FULL TEXT)

OTC exchange. (two stock exchanges introduce new over-the-counter currency options)

Richardson, Portia

Futures (Cedar Falls, Iowa), v23, n12, p16(1)

Nov, 1994

ISSN: 0746-2468 LANGUAGE: ENGLISH RECORD TYPE: FULLTEXT; ABSTRACT

WORD COUNT: 344 LINE COUNT: 00028

In September the Chicago Mercantile Exchange (CME) introduced Deutsche mark forward futures contracts, which replicate currency forward trading, while the Philadelphia Stock Exchange (PHLX) launched virtual currency options known as 3-D (Dollar Denominated Delivery), the first...

4/3,K/35 (Item 10 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2009 Gale/Cengage. All rts. reserv.

04680475 SUPPLIER NUMBER: 09406295
Philadelphia mart extends currency trade. (Philadelphia
Stock Exchange extends its trading session for foreign currency options)
Angrist, Stanley W.
Wall Street Journal , Mon ed, col 4, pC1(W) pC1(E)
Sept 17, 1990
CODEN: WSJOAF ISSN: 0193-2241 LANGUAGE: ENGLISH
RECORD TYPE: CITATION
Philadelphia mart extends currency trade. (Philadelphia
Stock Exchange extends its trading session for foreign currency options)

4/3,K/36 (Item 11 from file: 148)
DIALOG(R)File 148:Gale Group Trade & Industry DB
(c) 2009 Gale/Cengage. All rts. reserv.

04442072 SUPPLIER NUMBER: 10578863
Trading in foreign currency. (Tel Aviv Stock Exchange
seeks Bank of Israel approval) (Finance)
ICEN, v3, n174, p11(1)
May 2, 1990
ISSN: 0792-3465 LANGUAGE: ENGLISH RECORD TYPE: CITATION
Trading in foreign currency. (Tel Aviv Stock Exchange
seeks Bank of Israel approval) (Finance)

Full text NPL files - 4

? show files;ds;cost;logoff hold

File 275:Gale Group Computer DB(TM) 1983-2009/Sep 29

(c) 2009 Gale/Cengage

File 485:Accounting & Tax DB 1971-2009/Oct W3

(c) 2009 ProQuest Info&Learning

File 621:Gale Group New Prod.Annou.(R) 1985-2009/Sep 21

(c) 2009 Gale/Cengage

File 636:Gale Group Newsletter DB(TM) 1987-2009/Oct 05

(c) 2009 Gale/Cengage

File 267:Finance & Banking Newsletters 2008/Sep 29

(c) 2008 Dialog

File 624:McGraw-Hill Publications 1985-2009/Oct 29

(c) 2009 McGraw-Hill Co. Inc

File 625:American Banker Publications 1981-2008/Jun 26

(c) 2008 American Banker

File 56:Computer and Information Systems Abstracts 1966-2009/Oct

(c) 2009 CSA.

Set Items Description

S1 199807 (FOREIGN OR CURRENCY OR DOLLAR OR DOLLARS OR EURO OR EUROS
OR RUPEE OR RUPEES OR YEN OR LIRA OR PESO OR PESOS)(2N)(EXCH-
ANGE OR EXCHANGES OR TRANSLAT??? OR CONVERT??? OR CONVERSION OR
CONVERSIONS) OR FX
S2 50 ((TRADE OR TRADING)(3N)(CURRENCY OR CURRENCIES))(4W)(STOCK
OR STOCKS)
S3 33 S2 NOT (PY> 2001 OR PD= 20010808:20011231)
S4 32 RD (unique items)

4/6/1 (Item 1 from file: 275)

01297541 SUPPLIER NUMBER: 07288062 (USE FORMAT 7 OR 9 FOR FULL TEXT)

Third-party alliances listings: a vendor-sponsored directory. (directory)

May, 1989

WORD COUNT: 7055 LINE COUNT: 00632

4/6/2 (Item 1 from file: 485)

00444057 ** FULL-TEXT AVAILABLE IN FORMATS 7 AND 9 **

UK plans radical change to foreign exchange laws WORD COUNT: 1560

LINE COUNT: 142

Dec 1993/Jan 1994

4/6/3 (Item 2 from file: 485)

00230321

THE PRICING OF CURRENCY OPTIONS: A TEST OF THE GARMAN-KOHLHAGEN PRICING

MODEL
JUN 1988

4/6/4 (Item 1 from file: 621)
02576080 Supplier Number: 63563550 (USE FORMAT 007 FOR FULLTEXT)
Timeline Completes Purchase of Analyst Financials, its European Distributor.
July 19, 2000
Word Count: 642

4/6/5 (Item 2 from file: 621)
02512892 Supplier Number: 62417964 (USE FORMAT 007 FOR FULLTEXT)
Timeline to Acquire European Distributor Analyst Financials.
May 31, 2000
Word Count: 624

4/6/6 (Item 3 from file: 621)
01782724 Supplier Number: 53512204 (USE FORMAT 007 FOR FULLTEXT)
Van der Moolen to Acquire 80% Interest in US Options Trader Tague
Securities Corporation.
Jan 5, 1999
Word Count: 782

4/6/7 (Item 4 from file: 621)
01584232 Supplier Number: 48083085 (USE FORMAT 007 FOR FULLTEXT)
Candle's MQSeries Monitoring Solution Provides Chicago Mercantile Exchange
With Management Powers Essential to Trillion-Dollar Exchange
Oct 28, 1997
Word Count: 738

4/6/8 (Item 1 from file: 636)
03773574 Supplier Number: 48170321 (USE FORMAT 7 FOR FULLTEXT)
ECONOMIC RESEARCH SERVICE: Vegetables and specialties -- November
1997--Part I of II
Dec 10, 1997
Word Count: 5132

4/6/9 (Item 2 from file: 636)
02296092 Supplier Number: 44445982 (USE FORMAT 7 FOR FULLTEXT)
INGRES DISTRIBUTOR TO SUPPLY CURRENCY TRADING SYSTEM TO STOCK EXCHANGE
Feb 17, 1994
Word Count: 163

4/6/10 (Item 3 from file: 636)
02139522 Supplier Number: 43995854 (USE FORMAT 7 FOR FULLTEXT)
MOF Plans More Liberal Law For Investment Trust Companies
July 28, 1993
Word Count: 157

4/6/11 (Item 4 from file: 636)
02137964 Supplier Number: 43991842 (USE FORMAT 7 FOR FULLTEXT)
Dollar edges up on yen in nearly motionless trading
July 26, 1993
Word Count: 463

4/6/12 (Item 5 from file: 636)
01922284 Supplier Number: 43367374 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 10/12/92
Oct 12, 1992
Word Count: 145

4/6/13 (Item 6 from file: 636)
01916943 Supplier Number: 43353254 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 10/5/92
Oct 5, 1992
Word Count: 132

4/6/14 (Item 7 from file: 636)
01907399 Supplier Number: 43326375 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 9/28/92
Sept 28, 1992
Word Count: 116

4/6/15 (Item 8 from file: 636)
01868308 Supplier Number: 43217382 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 8/10/92
August 10, 1992
Word Count: 115

4/6/16 (Item 9 from file: 636)
01863648 Supplier Number: 43203555 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 8/3/92
August 3, 1992
Word Count: 100

4/6/17 (Item 10 from file: 636)
01848741 Supplier Number: 43162376 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 7/20/92
July 20, 1992
Word Count: 130

4/6/18 (Item 11 from file: 636)
01843178 Supplier Number: 43147194 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 7/13/92
July 13, 1992
Word Count: 167

4/6/19 (Item 12 from file: 636)
01838212 Supplier Number: 43133026 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 7/6/92
July 6, 1992
Word Count: 130

4/6/20 (Item 13 from file: 636)
01827790 Supplier Number: 43107185 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 6/29/92
June 29, 1992
Word Count: 112

4/6/21 (Item 14 from file: 636)
01822378 Supplier Number: 43092067 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 6/22/92
June 22, 1992
Word Count: 116

4/6/22 (Item 15 from file: 636)
01815803 Supplier Number: 43071280 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 6/12/92
June 12, 1992
Word Count: 99

4/6/23 (Item 16 from file: 636)
01705119 Supplier Number: 42751835 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 2/14/92
Feb 14, 1992
Word Count: 190

4/6/24 (Item 17 from file: 636)
01699704 Supplier Number: 42735991 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 2/7/92
Feb 7, 1992
Word Count: 231

4/6/25 (Item 18 from file: 636)
01689135 Supplier Number: 42706116 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 1/31/92
Jan 31, 1992
Word Count: 172

4/6/26 (Item 19 from file: 636)
01683768 Supplier Number: 42690420 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 1/24/92
Jan 24, 1992
Word Count: 127

4/6/27 (Item 20 from file: 636)
01678355 Supplier Number: 42673944 (USE FORMAT 7 FOR FULLTEXT)
CALENDAR OF EVENTS FX WEEK 1/17/92
Jan 17, 1992
Word Count: 179

4/6/28 (Item 21 from file: 636)
01449296 Supplier Number: 41948521 (USE FORMAT 7 FOR FULLTEXT)
LOOSE CHANGE
March 22, 1991
Word Count: 537

4/6/29 (Item 1 from file: 624)
0027441
NEW LOWS AHEAD FOR THE INCREDIBLE DIVING DOLLAR
January 19, 1987
WORD COUNT: 843

4/6/30 (Item 2 from file: 624)
0004313
REUTERS STARTS TO LET ITS CUSTOMERS TALK BACK: THE FINANCIAL INFORMATION
GIANT IS MOVING INTO SPECIALIZED COMMUNICATIONS
June 17, 1985
WORD COUNT: 1,070

4/6/31 (Item 1 from file: 625)

0249827

* Small Traders Are Focus Of New Online Forex Firm

July 14, 2000

4/6/32 (Item 2 from file: 625)

0041741

FRANCE EASES OPTIONS TRADING CURBS

August 14, 1985, Wednesday

4/3,K/3 (Item 2 from file: 485)
DIALOG(R) File 485:Accounting & Tax DB
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00230321

THE PRICING OF CURRENCY OPTIONS: A TEST OF THE GARMAN-KOHLHAGEN PRICING MODEL

PASMANTIER, ANITA BELLA

PH.D. 49-12A PP: 1-150 JUN 1988

JRNL CODE: ADAI

Accounting & Tax DB_1971-2009/Oct W3

...ABSTRACT: model used to price stock options. The Garman-Kohlhagen model was applied to the six currencies trading on the Philadelphia Stock Exchange using daily data for the period February 28, 1983 to June 27, 1985.

4/3,K/28 (Item 21 from file: 636)
DIALOG(R) File 636:Gale Group Newsletter DB(TM)
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01449296 Supplier Number: 41948521 (USE FORMAT 7 FOR FULLTEXT)

LOOSE CHANGE

FX Week, v1, n40, pN/A

March 22, 1991

Language: English Record Type: Fulltext

Document Type: Magazine/Journal; Trade

Word Count: 537

... Currency options trading at the Philadelphia Stock Exchange rose modestly in February compared with levels posted during the same period last year...

V. Additional Resources Searched

Searches were done in two template files not available through DIALOG, the Internet and Personal Computing Abstracts and the Financial Times, but there were no results.